

D

Public Education System

District of Columbia Public Schools

FY 2002 Proposed Operating Budget:	\$844,572,922
FY 2002 Proposed Capital Budget:	\$174,163,194
FY 2002-FY 2007 Proposed Capital Improvements Plan:	\$868,492,782

The District of Columbia Public Schools seeks to make dramatic improvements in the achievement of all students today in preparation for their world tomorrow.

Budget Summary

The FY 2002 proposed operating budget for the District of Columbia Public Schools (DCPS) from all funding sources is \$844,572,922, an increase of \$59,720,297, or 7.6 percent over the FY 2001 approved budget (table GA0-1). This operating budget supports approximately 68,978 students, which includes students enrolled in DCPS schools, incarcerated youth at the Oak Hill Juvenile Detention Center, and special education students in non-public placements. DCPS serves 66,758 students in the 102 elementary schools, 26 middle and junior high schools, 21 senior high and alternative schools, and 5 special education centers within its system. Furthermore, in its capacity as State Education Agency (SEA) for the District of Columbia, DCPS also serves 152 incarcerated youth at the Oak Hill Juvenile Detention Center, and 2,068 students in non-public placement. There are approximately 10,811 full-time equivalents (FTEs) (table GA0-2) supported by this budget.

The proposed capital budget for DCPS is \$174,163,194 for FY 2002 and \$868,492,782 for FY 2002 through FY 2007. It will fund three current and 79 new projects.

Strategic Issues

- Improve teaching and learning through challenging opportunities for students, staff and parents
- Improve school and central office decision-making to ensure sufficient programs, each maximizing the benefits gained from each dollar spent
- Synchronize the ability of facilities to accommodate changing academic requirements
- Restore credibility to the District of Columbia public education system

FY 2002 Initiatives

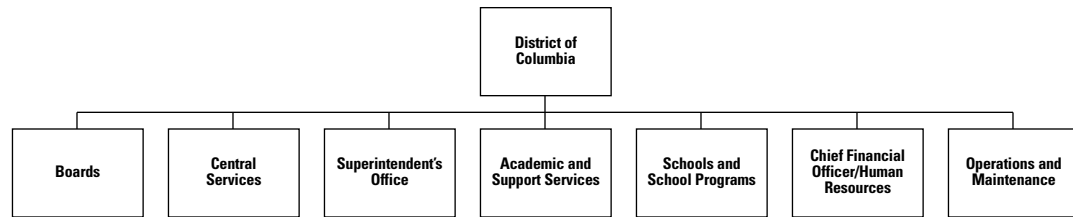
Despite academic and operational improvements during FY 2001, the DCPS system still faces challenges. The results of the most recent Stanford Achievement Tests (SAT-9) indicate that 37 percent of DCPS students score below basic in math and 26 percent below basic in reading. DCPS students also perform below the national average on the Scholastic Assessment Test (SAT), suggesting a need for special emphasis on improving achievement in secondary schools. DCPS faces challenges in other areas as well, among them recruitment and retention of quality staff, parental involvement, and needed improvements in special education transportation services.

The FY 2002 proposed operating budget is \$844,572,922, an increase of \$59,720,297, or 7.6 percent, over the FY 2001 approved budget.

The FY 2002 proposed capital budget is \$174,163,194, an increase of \$5,267,194, or 3 percent, over FY 2001.

Figure GA0-1

District of Columbia Public Schools



To address these concerns, the FY 2002 budget will fund the following initiatives:

- Expand efforts to recruit teachers and principals from both traditional and non-traditional sources
- Continue to support the professional development of District teachers
- Continue to improve parental involvement through educational campaigns and parent centers

Agency Background

Congress established the District's public education system in 1804 in the first charter of Washington. The first public schools opened in 1805 in two schoolhouses with fewer than 50 students. By 1981, there were about 100,000 students enrolled in about 190 schools. Currently, 154 schools have a total approximate enrollment of 66,758 students.

In 1900, Congress consolidated the public education system by creating one Board of Education and one superintendent for all District public schools. By 1905, their hundredth year anniversary, the public schools had the following features that persist today: a superintendent to provide supervision, the graded system, teacher training schools, and advanced grammar and high schools. After several changes in school board structure, the School Governance Act of 2000 mandated a board composed of five elected members, four members appointed by the Mayor and one student representative elected by his or her peers.

In its capacity as the State Education Agency (SEA) of the District of Columbia, DCPS offers seven state-level services:

- Non-public special education and related services for students placed—through court orders, hearing officer determinations, settlement agreements, and DCPS administrative

decisions—in non-public day and residential settings

- Transportation services to all eligible special education students served by DCPS and public charter schools and those in nonpublic placements
- Special education and related services to youth who are wards of the state under the LaShawn Receivership
- Educational and related services to youth who are under the supervision of the Commission on Mental Health
- Other special education related activities including monitoring of students placed in non-public day and residential programs, mediation and compliance responsibilities, public transportation for eligible special education students, and program development initiatives to reduce state level spending
- Educational and related services to committed and detained youth that reside at the District's Oak Hill Youth Center
- Additional functions that serve DCPS and public charter schools, including charter school oversight through the board's Subcommittee on Public Charter Schools, administration of the SAT-9 standardized examination, hearings and appeals, teacher credentialing, and grants administration for DCPS, public charter schools, and private schools.

Program Overview

DCPS has seven broad organizational components (figure GA0-1): Schools and School Programs, Academic and Support Services, the Superintendent's Office, Central Services,

Table GA0-1

FY 2002 Proposed Operating Budget, by Comptroller Source Group

(dollars in thousands)

D.C. Public Schools

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Regular Pay - Full Time	322,407	377,656	365,865	-11,791
Regular Pay - Other	105,258	40,834	69,902	29,069
Additional Gross Pay	29,798	8,146	15,284	7,139
Fringe Benefits	44,819	60,905	65,249	4,344
Unknown Payroll Postings	4,867	0	0	0
<i>Subtotal Personal Services (PS)</i>	<i>507,149</i>	<i>487,541</i>	<i>516,301</i>	<i>28,760</i>
Supplies and Materials	32,559	32,288	39,031	6,744
Utilities	24,423	23,133	23,546	413
Communications	3,016	2,429	4,578	2,149
Rentals - Land and Structures	786	0	388	388
Janitorial Services	0	0	13	13
Security Services	0	0	260	260
Other Services and Charges	22,024	24,761	30,354	5,593
Contractual Services	80,214	62,648	67,017	4,369
Subsidies and Transfers	87,112	116,857	128,725	11,869
Equipment and Equipment Rental	31,357	35,196	34,360	-836
Debt Service	-5	0	0	0
<i>Subtotal Nonpersonal Services (NPS)</i>	<i>281,488</i>	<i>297,312</i>	<i>328,272</i>	<i>30,960</i>
Total Proposed Operating Budget	788,637	784,853	844,573	59,720

Table GA0-2

FY 2002 Full-Time Equivalent Employment LevelsD.C. Public Schools

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Continuing full time	8,535.00	9,741.00	9,207.35	-533.65
Term full time	3,165.25	1,190.00	1,603.59	413.59
Total FTEs	11,700.25	10,931.00	10,810.94	-120.06

Operations and Maintenance, the Office of the Chief Financial Officer/Human Resources, and the Board of Education. Each unit encompasses several programs and offices that provide specific services to help DCPS achieve its mission.

Schools and School Programs

This is the largest unit, comprising about 55 percent of the total proposed budget. It includes funding for the major activities and initiatives in each of DCPS's 154 schools. It also distributes grant monies to public charter schools and to non-public schools in the District.

DCPS has adopted a system based on academic, content, and performance standards. This system establishes guidelines for teacher, principal, and student performance.

DCPS provides a range of educational settings and programs to help leverage student achievement; among them are magnet schools, magnet programs within schools, school-within-a-school charters, and alternative education programs. The primary tool used to determine academic achievement is the reading and math SAT-9. The SAT-9 also tests how well educators are teaching children.

The FY 2002 proposed budget for Schools and School Programs is \$462,049,721, a decrease of \$4,994,687, or 1.07 percent, from FY 2001. It supports approximately 7,821 FTEs.

The FY 2002 proposed budget provides \$1,200,000 for the D.C. Teaching Fellows (DCTF) Program and \$750,000 for the Leadership in Educational Administration Development (LEAD) Principals Program. The DCTF Program aims to attract young professionals to commit two years to teach in DCPS. The LEAD Principals Program will use salary and other incentives to recruit promising candidates both locally and nationally to become principals, provide the necessary training to help them be successful, and offer increased compensation for high-quality performance.

Academic and Support Services

DCPS students receive academic and support services through multiple central offices such as the School Assistant Superintendents and the Associate Superintendent for Academic Services. Each assistant superintendent is responsible for a division of 20 to 25 schools. There are four ele-

mentary, one middle/junior high and one senior high school division. The school assistant superintendents conduct site-visits to schools, evaluate principals, provide professional development training for teachers, help principals assess student academic performance and teacher classroom performance, and work with central office departments to ensure the adequate delivery of other support services to schools. The associate superintendent for academic services is concerned with the continuous improvement of academic performance in DCPS and helps set academic standards for teaching and learning.

The Special Education program, a major emphasis of Academic and Support Services, provides administrative oversight to ensure that every disabled child receives a "free, appropriate public education" in an environment where they are educated, as much as possible, with their non-disabled peers. Special Education provides services including timely assessments and placement of special education students, staff development, and oversight of transportation for students in special education programs.

The FY 2002 proposed budget for Academic and Support Services is \$264,944,688, an increase of \$54,889,034 or 26.13 percent over, FY 2001. It supports approximately 2,206 FTEs.

Superintendent's Office

The Superintendent's Office provides educators and school and district-level administrators with the guidance and support they need to deliver quality instruction and other direct services to students. The superintendent and deputy superintendent ensure that curriculum, standards and professional development, among other services, are aligned with the policy objectives and educational philosophy of the school system. The Security Office, which is also located in this unit, helps ensure safe school environments that are conducive to student learning and achievement.

The FY 2002 proposed budget for the Superintendent's Office is \$16,370,972, an increase of \$1,386,648, or 9.25 percent, over FY 2001. It supports approximately 40 FTEs.

Central Services

Central Services provides legal support for students, parents and employees of DCPS through

the Office of the General Counsel and the Division of Student Hearings.

By facilitating compliance with the law, the Office of the General Counsel helps ensure that DCPS and individual schools meet the needs of their students fairly and consistently. The Office of the General Counsel represents DCPS in special education due process hearings, grievances and arbitration; collective bargaining negotiations; adverse employee actions; and review and drafting of legislation, internal rules, policies, and directives.

The Division of Student Hearings provides arbitration services and technical assistance to students, parents and schools for problems relating to discipline, school transfers, grievances, and student records. Because the division has local as well as state responsibilities, its services are available to both DCPS and public charter schools.

In FY 2001, through the efforts of these and other units, DCPS eliminated the backlogs of over 2,000 students awaiting assessment and placement into special education programs and of student requests for special education hearings.

The FY 2002 proposed budget for Central Services is \$2,699,452, a decrease of \$42,480, or 1.55 percent, from FY 2001. It supports approximately 43 FTEs.

Operations and Maintenance

Operations and Maintenance includes the facilities department, which oversees building maintenance and ensures that all DCPS facilities provide a clean and safe environment for students. The Food and Nutrition program within this unit provides quality and cost-effective food services to reduce hunger among students and provide educational programs to improve their dietary habits. Every school day, this unit provides breakfasts to approximately 16,000 students, lunch to 41,000 students and after-school snacks to 19,000 students.

The FY 2002 proposed budget for Operations and Maintenance is \$36,676,377, an increase of \$520,775, or 1.44 percent, over FY 2001. It supports approximately 445 FTEs.

Office of the Chief Financial Officer/Human Resources

The mission of the Office of the Chief Financial Officer (OCFO) is to develop and execute a budget

that ensures adequate funding for all DCPS program offices and to fulfill all DCPS financial obligations to employees and vendors. The OCFO also provides financial guidance to schools and program offices, and delivers reliable financial information to administrators, governing bodies and the public.

Human Resources is responsible for recruiting, employing, and maintaining a quality work force. It must review state standards for teacher licensure or alternative certification, test teachers for initial licensure, and accredit the teacher-training programs at institutions of higher education in the District.

The FY 2002 proposed budget for the Office of the Chief Financial Officer/Human Resources is \$60,971,329, an increase of \$7,835,974, or 14.75 percent, over FY 2001. It supports approximately 241 FTEs.

Board of Education (Boards)

The District of Columbia Board of Education represents parents and community members in the policymaking and implementation decisions of DCPS to help ensure that the agency is responsive to community needs. Among its administrative functions, the board reviews and revises rules affecting the governance of DCPS. As trustee of the state education agency, the board oversees charter schools under its authority and implements educational policies and programs in accordance with the District of Columbia School Reform Act of 1995.

The FY 2002 proposed budget for the board is \$860,383, an increase of \$125,032, or 17 percent, over the FY 2001. It supports approximately 16 FTEs and funds stipends for board members, support staff salaries, and general operations of the office.

Funding Summary

The FY 2002 proposed operating budget for all funding sources is \$844,572,922, an increase of \$59,720,297, or 7.6 percent over the FY 2001 approved budget. DCPS receives 77.98 percent of its funding from local sources, 17.12 percent from federal grants, less than 1 percent each from private grants and from other revenues, and 4.04 percent from intra-District funds. The budget supports approximately 10,811 FTEs.

DCPS is one of two local school districts in the U.S. that serves as both local education agency

Table GA0-3

District of Columbia Public Schools (GA0) Proposed FY 2002 Local BudgetLocal Education Agency (LEA)**[Pursuant to the Uniform Per Student Funding Formula (UPSFF)]****Foundation level per pupil: \$5,907**

Grade Level	Weighting	Enrollment	Per Pupil Allocation	Total Dollars
Pre-School	1.16	1,056	\$6,852	\$7,236,190
Pre-K	1.16	3,200	\$6,852	\$21,927,847
K-5	1.05	35,034	\$6,203	\$217,303,665
Ungraded ES	1.05	722	\$6,203	\$4,478,314
6-8	1.00	11,966	\$5,907	\$70,686,589
Ungraded MS	1.00	213	\$5,907	\$1,258,252
9-12	1.30	13,764	\$7,679	\$105,700,257
Ungraded HS	1.30	730	\$7,679	\$5,606,015
Alternative	1.30	73	\$7,679	\$560,601
Subtotal		66,758		\$434,757,730
Oak Hill		152		
Private Placement		2,068		
Total Enrollment		68,978		

Add-on WeightingsSpecial Education

Level 1	0.22	1,743	\$1,300	\$2,265,208
Level 2	0.80	2,285	\$4,726	\$10,798,520
Level 3	1.73	3,227	\$10,220	\$32,978,667
Level 4	3.20	1,200	\$18,903	\$22,683,980
Subtotal for Special Education		8,455		\$68,726,374
LEP/NEP	0.40	5,360	\$2,363	\$12,665,222
Summer School	0.17	22,000	\$1,004	\$22,093,251
Total Local Education Agency (LEA)				\$538,242,578

State Education Agency (SEA)

Tuition payments	\$52,380,000
Transportation	\$31,946,740
LaShawn Receivership	\$18,830,521
Commission on Mental Health	\$4,684,261
Other Special Education	\$4,157,512
Oak Hill	\$3,237,335
Public Charter School Oversight	\$300,300
Other State Agency Functions	\$2,894,533
Total State Education Agency (SEA)	\$118,431,202
Subtotal Proposed Local Budget (LEA and SEA)	\$656,673,780

Table GA0-3 (Cont.)

District of Columbia Public Schools (GA0) Proposed FY 2002 Local Budget**Enhancements**

DC Teaching Fellows Program	\$1,200,000
LEAD Principals	\$750,000
Total Enhancements	\$1,950,000

Total Proposed Local Budget**\$658,623,780**

(LEA) and state education agency (SEA). In a typical public school district, SEA functions are carried out by a state entity such as a department of education or state board, and LEA functions are performed by the local school district under the guidance of a local school board. Furthermore, most public school districts receive funding from both local and state sources. DCPS receives a single annual local appropriation for both its LEA and SEA functions. Refer to the FY 2002 Operating Appendices (bound separately) for details.

Local

The FY 2002 proposed local budget is \$658,623,780, an increase of \$29,314,927, or 4.66 percent, over the FY 2001 approved budget. Of this increase, \$2,320,833 is in personal services and \$26,994,094 in nonpersonal services. This proposed budget serves a student population of 68,978, which represents a decline of 1,784 students from FY 2001. Despite declining enrollment, the budgetary increase over FY 2001 is mostly attributed to the 3.13 percent inflationary adjustment to the Uniform Per Student Funding Formula (UPSFF); the rise in the number of special education students, who generate a higher level of funding per pupil; and the increase of the UPSFF summer school weight from 0.10 to 0.17. Other factors include the increased costs of special education services such as non-public placements and transportation. This budget supports approximately 9,821 FTEs.

Local Education Agency (LEA)

The FY 2002 proposed budget for the DCPS local education agency is \$538,242,578, which is primarily derived from the UPSFF (table GA0-3). In accordance with the D.C. School Reform Act of 1995 and D.C. Act 12-494, the UPSFF determines

the operating funds for D.C. Public Schools and charter schools based on student enrollment. The UPSFF provides a foundation or basic level of funding for each student. Supplemental funds, commonly referred to as add-on weights, are then applied to the foundation level to adjust the funding for each student based on grade-level, special education classification, limited or non-English proficiency, and summer school attendance.

In FY 2002, the foundation level is \$5,907 per student, which is 3.13 percent above FY 2001 foundation level of \$5,728. To determine the total FY 2002 proposed budget for the LEA, the UPSFF was applied to the official enrollment count taken in October of FY 2001. The UPSFF allocates an average of \$8,063 per student when add-on weights are included.

State Education Agency (SEA)

In addition to the funding generated by the UPSFF, DCPS receives local dollars for the SEA functions it performs for public charter school and private schools, as well as for schools within the DCPS system. The FY 2002 proposed local budget allocates \$118,431,202, or 18 percent to DCPS's SEA, based on an assessment of the funds needed to support DCPS state-level services.

The FY 2002 proposed local budget for DCPS also provides \$1,200,000 for the D.C. Teaching Fellows (DCTF) Program and \$750,000 for the Leadership in Educational Administration Development (LEAD) Principals Program.

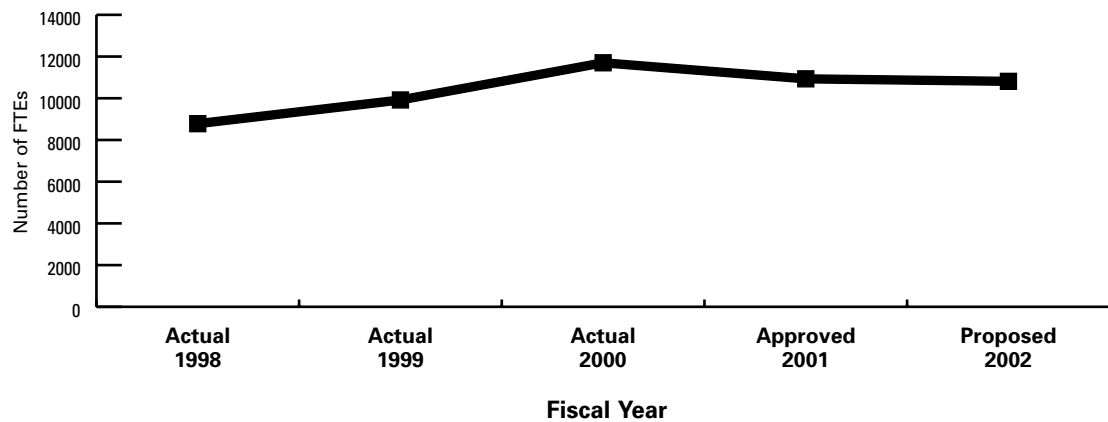
Federal

The FY 2002 proposed federal budget is \$144,629,939, an increase of \$11,140,311, or 8.35 percent, over the FY 2001 approved budget. In FY

Figure GA0-2

DCPS Employment Level, FY 1998 through Proposed FY 2002

(gross FTEs)



2002, six grants (the Reading Excellence Act, Career Resource Network, Advanced Placement Incentive Program, Partnership in Character Education, Comprehensive School Grant, and Title I Accountability) that DCPS received after the FY 2001 budget was approved, will be in their second year of implementation. In addition, DCPS will increase its Medicaid reimbursement collections for special education related services. The federal budget supports approximately 506 FTEs.

Private

The FY 2002 proposed private budget is \$4,107,983, an increase of \$80,549, or 2 percent, over the FY 2001 approved budget. It supports approximately 96 FTEs.

Other

The FY 2002 proposed other revenue budget is \$3,179,587, an increase of \$62,345, or 2 percent, over the FY 2001 approved budget. It supports approximately 23 FTEs.

Intra-District

The FY 2002 proposed intra-District budget is \$34,031,633, an increase of \$19,122,165, or 128.26 percent, over the FY 2001 approved budget. In FY 2001, responsibility for the administration of the US Department of Agriculture Food and Nutrition program was transferred from DCPS to the State Education Office (SEO) under the Mayor. In FY 2002, DCPS will receive an intra-District transfer

from the SEO to provide food and nutrition services to qualified DCPS students. This budget supports approximately 365 FTEs.

Capital Improvements

Because the average age of DCPS facilities is 56 years, the DCPS capital program emphasizes school modernization and renovations to overcome age and years of deferred maintenance. In FY 2000 and FY 2001, an oversight committee of District Officials, and a senior advisory board, developed a Facilities Master Plan, the goal of which is to make all schools engaging, effective environments for educational, administrative and community services.

DCPS is proposing new capital funding of \$55,274,000 in FY 2002 and \$255,775,000 for FY 2002 through FY 2007 (table GA0-4). The agency is proposing the following projects:

Modernization requires complete renovation of a facility to expand classrooms, upgrade technology, make mechanical and structural repairs and replace the electrical system. After years of deferred maintenance when maintenance and repair dollars were diverted to general improvement, DCPS now has a comprehensive modernization plan to renovate all facilities, at a rate of approximately eight schools each year.

Life Safety projects will replace outmoded and dangerous electrical wiring, upgrade lighting and improve ventilation.

Interior/exterior finishing projects are subcomponents of the renovation projects. The projects

Table GA0-4

Capital Improvement Plan, FY 2000 – FY 2007

(dollars in thousands)

D.C. Public Schools

Cost Elements	Through Budgeted FY 2000 FY 2001		Total	EXPENDITURE SCHEDULE						6 Years Budget	Total Budget
				Year 1 FY 2002	Year 2 FY 2003	Year 3 FY 2004	Year 4 FY 2005	Year 5 FY 2006	Year 6 FY 2007		
a. Design:	5,345	17,989	23,334	32,883	10,322	18,821	14,387	3,037	0	79,450	102,785
b. Site:	0	0	0	0	0	0	0	0	0	0	0
c. Project Mngmnt:	14,256	19,693	33,949	15,595	20,263	21,497	24,867	16,991	2,519	101,732	135,681
d. Construction:	166,798	151,461	318,259	125,685	149,113	127,207	111,502	128,694	18,596	660,797	979,057
e. Equipment:	3	2,491	2,494	0	3,763	880	21,870	0	0	26,513	29,007
Total:	186,402	191,634	378,036	174,163	183,461	168,406	172,626	148,722	21,115	868,493	1,246,529

FUNDING SCHEDULE											
a. Long Term Financing:	235,092	143,344	378,436	175,363	183,061	168,006	172,226	148,322	21,115	868,093	1,246,529
b. Tobacco Securitization:	0	0	0	0	0	0	0	0	0	0	0
c. Grants:	0	0	0	0	0	0	0	0	0	0	0
d. Pay Go:	0	0	0	0	0	0	0	0	0	0	0
e. Hwy Trust Fund:	0	0	0	0	0	0	0	0	0	0	0
f. Equipment Lease	0	0	0	0	0	0	0	0	0	0	0
g. Alternative Financing	0	0	0	0	0	0	0	0	0	0	0
h. Other:	0	0	0	0	0	0	0	0	0	0	0
Total:	235,092	143,344	378,436	175,363	183,061	168,006	172,226	148,322	21,115	868,093	1,246,529

address lighting upgrades, flooring, plumbing, HVAC and playground improvements.

Cooling/heating plant projects address environmental conditions throughout a facility. All systems will be replaced or upgraded with state-of-the-art components. Refer to the FY 2002 Capital Appendices (bound separately) for details.

Ongoing Projects

Planned expenditures in FY 2002 are \$118,889,194 and \$612,717,782 for FY 2002 through FY 2007.

Trend Data

Table GA0-5 and figure GA0-2 show expenditure and employment history for FY 1998 through proposed FY 2002.

Agency Goals and Performance Measures¹**Goal 1. Improve student achievement.**

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Dr. Paul L. Vance, Superintendent

Supervisor: District of Columbia Board of Education

Measure 1.1: Percent of students progressing from below basic level to basic or higher on the Stanford Achievement Test-9 (Stanford-9 result categories are below basic, basic, proficient, and advanced)

Note: DC Public Schools measures performance in this area at the school level. Schools selected one of the following three targets:

- Below basic to basic: 10%
- Basic to proficient: 5%
- Proficient to Advanced: 5%
- Below basic to basic: 5%
- Basic to proficient: 10%
- Proficient to Advanced: 5%
- Below basic to basic: 5%
- Basic to proficient: 5%
- Proficient to Advanced: 10%

Table GA0-5

FY 2002 GAO Proposed Operating Budget, by Revenue Type

(Dollars in Thousands)

D.C. Public Schools

	Actual FY 1998	Actual FY 1999	Actual FY 2000	Approved FY 2001	Proposed FY 2002
Local	520,097	550,812	604,098	629,309	658,624
Federal	108,746	107,857	159,361	133,490	144,630
Private	2,348	4,642	3,583	4,027	4,108
Other	3,048	2,697	4,717	3,117	3,180
Intra-District	8,491	11,270	16,878	14,909	34,032
Gross Funds	642,730	677,278	788,637	784,853	844,573

*Actual Results:***Percentage of students scoring at or above basic level in math**

Grade	1998	1999	2000	Change 1998-2000
1	87	83	89	+2
2	68	69	76	+8
3	69	71	81	+12
4	60	64	74	+14
5	51	56	63	+12
6	60	59	71	+11
7	36	36	45	+9
8	41	42	46	+5
9	39	44	49	+10
10	17	20	28	+11
11	24	25	25	+1

Percentage of students scoring at or above basic level in reading

Grade	1998	1999	2000	Change 1998-2000
1	88	86	87	-1
2	71	73	75	+4
3	67	68	74	+7
4	69	71	75	+6
5	75	76	79	+4
6	84	81	86	+2
7	71	73	77	+6
8	80	80	81	+1
9	62	63	63	+1
10	53	49	53	0
11	54	52	52	-2

¹ The newly appointed Board of Education and the Superintendent are currently in the process of developing a new strategic plan that will include new agency goals and performance measures for FY 2002.

Teachers' Retirement System

FY 2002 Proposed Operating Budget:	\$0
FY 2002 Proposed Capital Budget:	\$0

The Teachers' Retirement System provides annuity payments and other retirement and disability benefits for the District of Columbia's public school teachers.

A District contribution to the Teachers' Retirement System is not required in FY 2002.

Budget Summary

Based on the certified FY 2002 actuarial report commissioned by the D.C. Retirement Board, a District contribution to the Teachers' Retirement System is not required in FY 2002 (table GX0-1). The budget supports no full-time equivalents (FTEs).

Agency Background

The Teachers' Retirement System (TRS) is administered by the D.C. Retirement Board and receives local funds (table GX0-2) based on an annual certified actuarial report. The Retirement Board sets policy, processes beneficiary payments, and manages the fund's investments. Additional information about the D.C. Retirement Board is available within the Enterprise and Other Funds section of this budget book.

Program

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the federal government assumed the District's unfunded pension liability for the retirement plans of teachers, police officers, firefighters, and judges. Pursuant to the act, the federal government pays the future retirement, death, and a share of disability benefits earned by beneficiaries vested prior to June 30, 1997, the freeze date. Benefits earned after the freeze date by teachers, police officers, and firefighters remain the responsibility of the District of Columbia.

Table GX0-1

FY 2002 Proposed Operating Budget, by Comptroller Source Group

(dollars in thousands)

Teachers' Retirement System

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Subsidies and Transfers	10,700	200	0	-200
<i>Nonpersonal Services (NPS)</i>	<i>10,700</i>	<i>200</i>	<i>0</i>	<i>-200</i>
Total Proposed Operating Budget	10,700	200	0	200

Table GX0-2

FY 2002 Proposed Operating Budget, by Revenue Type

(dollars in thousands)

Teachers' Retirement System

	Actual FY 1998	Actual FY 1999	Actual FY 2000	Approved FY 2001	Proposed FY 2002
Local	8,900	18,600	10,700	200	0
Gross Funds	8,900	18,600	10,700	200	0

State Education Office

FY 2002 Proposed Operating Budget:	\$47,850,336
FY 2002 Proposed Capital Budget:	\$0

The District of Columbia State Education Office seeks to enhance the administrative efficiency of state-level education functions and ensure the equitable distribution of educational resources.

The FY 2002 proposed operating budget is \$47,850,336, an increase of \$46,171,226, or 2,750 percent, over the FY 2001 approved budget.

Budget Summary

The FY 2002 proposed operating budget for all sources for the District of Columbia State Education Office is \$47,850,336, an increase of \$46,171,226, or 2,750 percent, over the FY 2001 approved budget of \$1,679,110 (table GD0-1). There are 45 full-time equivalent (FTE) positions supported by this budget, an increase of 36 FTEs over FY 2001 (table GD0-2). This budget is comprised of \$19,911,326 in local funds, \$26,917,033 in federal funds, \$541,977 in other funds, and \$480,000 in intra-District funds. This agency receives about 42 percent of its funding from local sources.

The State Education Office's budget consists solely of operating expenditures. There is no capital budget for FY 2002.

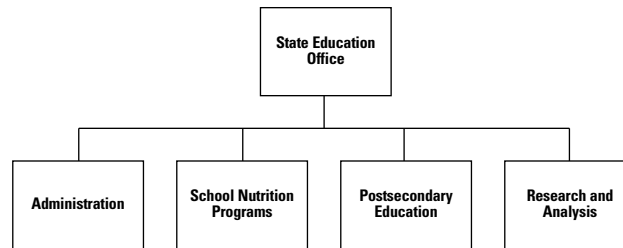
Strategic Issues

- Develop revisions to the Uniform Per Student Funding Formula, which funds D.C. Public Schools and Public Charter Schools.
- Administer and oversee programs that impact D.C. Public Schools, Public Charter Schools, and related community-based programs.

- Identify resources and technical assistance providers to enhance the delivery of instructional services.
- Verify the accuracy of student enrollment counts and District residency of students enrolled in D.C. Public Schools and Public Charter Schools.
- Define the role of the SEO within the D.C. Public Education System to make recommendations regarding how to best serve the District's public schools.
- Promote awareness among District residents regarding tuition assistance programs for current and prospective students.
- Collect and analyze data regarding postsecondary level students and programs.
- Provide professional development programs to improve kindergarten through 12th grade teachers' knowledge of subject areas.
- Track and report student progress at the post-secondary level.

Figure GD0-1

Office of State Education



FY 2002 Initiatives

Administer all state functions for the federally sponsored school nutrition program, including those sponsored by the United States Department of Agriculture School Nutrition Program.

- Verify annual fall enrollment counts for all public and public charter schools pursuant to the District of Columbia School Reform Act of 1995.
- Formulate and administer rules for the documentation and verification of District residency for public and public charter school students pursuant to the District of Columbia Nonresident Tuition Act.
- Make recommendations to the Mayor and District Council for the revision of the Uniform Per Student Funding Formula pursuant to the Uniform Per Student Funding Formula for Public Schools and Public Charter Schools Act of 2000, and provide information and data related to the study of actual costs of education in the District of Columbia.
- Provide postsecondary level tuition assistance and counseling for prospective and current students through the administration of the Tuition Assistance Grant Program, the Tuition Assistance Program for TANF, and Leveraging Education Assistance Partnerships.
- Administer the Eisenhower Professional Development Program.
- Collect, analyze and report data for the Integrated Postsecondary Education Data System.

Agency Background

The State Education Office was established in 2000 under the Office of the Mayor. Its purpose is to provide technical support, oversight and monitoring in a variety of areas that impact educational access and achievement of children, youth, families and individuals in the District of Columbia. Pursuant to D.C. Act 13-387, the SEO is mandated to carry out both short-term and long-term plans.

The SEO currently performs five major duties established by local legislation. In addition, the SEO expects to perform a number of additional state functions outlined in the long-term plan of D.C. Act 13-387. These functions may include the acquisition and administration of federal education grants for all eligible District schools; development of rules to govern granting credit for studies completed at independent, private, public and public charter schools; establishment of a system of annual standardized reporting requirements for public schools and public charter schools; and establishment of teacher certification requirements for all eligible District schools.

Also, the SEO will be charged with administering some of the District's postsecondary education and financial assistance programs. This office will be established through a transfer to the SEO of the Tuition Assistance Grant Program, formerly an independent agency, and the Office of Postsecondary Education Research and Assistance, formerly an office within the Department of Human Services. Under the SEO, these programs will continue receiving the same level of funding they formerly received.

Table GD0-1

FY 2002 Proposed Operating Budget, by Comptroller Source Group

(dollars in thousands)

State Education Office

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Regular Pay - Cont. Full Time	0	495	1,563	1,068
Regular Pay - Other	0	0	532	532
Fringe Benefits	0	90	360	270
<i>Subtotal Personal Services (PS)</i>	<i>0</i>	<i>585</i>	<i>2,455</i>	<i>1,870</i>
Supplies and Materials	0	46	70	24
Utilities	0	20	67	47
Communications	0	73	97	25
Rentals - Land and Structures	0	25	25	0
Other Services and Charges	0	35	344	309
Contractual Services	0	555	697	142
Subsidies and Transfers	0	0	43,739	43,739
Equipment and Equipment Rental	0	341	357	16
<i>Subtotal Nonpersonal Services (NPS)</i>	<i>0</i>	<i>1,094</i>	<i>45,395</i>	<i>44,301</i>
Total Proposed Operating Budget	0	1,679	47,850	46,171

Table GD0-2

FY 2002 Full-Time Equivalent Employment LevelsState Education Office

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Continuing full time	0.00	9.00	30.95	21.95
Term full time	0.00	0.00	14.05	14.05
Total FTEs	0.00	9.00	45.00	36.00

Programs

The SEO will perform several duties through four major programs: Administration, School Nutrition Programs, Postsecondary Education, and Research and Analysis (figure GD0-1).

Administration

The total proposed FY 2002 budget for Administration is \$2,084,025, which supports a total of 7 FTEs. This budget is comprised of \$1,388,204 in local funds, \$427,821 in federal, and

\$268,000 in other funds. This program currently administers the Eisenhower grant in addition to providing administrative support for the State Education Office. The Dwight D. Eisenhower Professional Development program provides federal grant funds to colleges and universities in the District to conduct workshops and summer programs to improve the skills of elementary and secondary education teachers in a variety of subject areas, particularly science and mathematics. In addition, this program includes the office of the

Director of the SEO and other administrative support services for the agency.

School Nutrition Programs

The FY 2002 proposed budget for the SEO's administration of the federal Special Nutrition and Commodities program is \$27,023,175, which supports 13 FTEs. This program's budget is comprised of a \$720,000 local funds match and \$26,303,175 in federal funds. These funds are used to support the administration of the school nutrition and commodities program, which entails monitoring food supplies and service delivery, providing technical assistance to schools, training staff, and providing other services pertaining to school nutrition programs. In addition, this program includes services for community service providers of programs that provide nutritious meals to children. Services provided through this program improve children's and youth's dietary habits and knowledge of the importance of nutrition in maintaining good health. In addition, students from low-income households who may not eat properly at home are provided balanced nutritional meals through this program.

Postsecondary Education

The total proposed FY 2002 budget for Postsecondary Education is \$18,311,587 and 19 FTEs. This budget, which is comprised of local, federal, and other funds, increased the local budget by \$17,557,000; the federal by \$186,037; the

agency's other funds budget by \$88,550; and the agency's intra-District budget by \$480,000. This office was established through a transfer to the SEO of the Tuition Assistance Grant Program (TAG), formerly an independent agency, and the Office of Postsecondary Education Research and Assistance, formerly an office within the Department of Human Services.

The newly established office will administer TAG, Leveraging Education Assistance Partnerships (LEAP), the Tuition Assistance Program Initiative for TANF (TAPIT), and the Integrated Postsecondary Education Data System (IPEDS).

TAG, LEAP, and TANF create incentives for under-privileged students to pursue education beyond the secondary level. In addition, these programs provide academic and financial counseling for District residents who are interested in pursuing higher education. Through TAG, the Mayor may award grants to eligible institutions enrolling eligible students that help subsidize the difference between the cost of in-state tuition and fees and out-of-state tuition and fees (not to exceed \$10,000 per year, per student). LEAP awards need-based grants to eligible District residents attending colleges around the country. TAPIT provides financial assistance for the District's participants in the Temporary Assistance for Needy Families program (TANF) who wish to pursue postsecondary degrees or certificate programs.

Figure GD0-2
SEO Employment Levels, FY 1998–Proposed FY 2002
(gross FTEs)

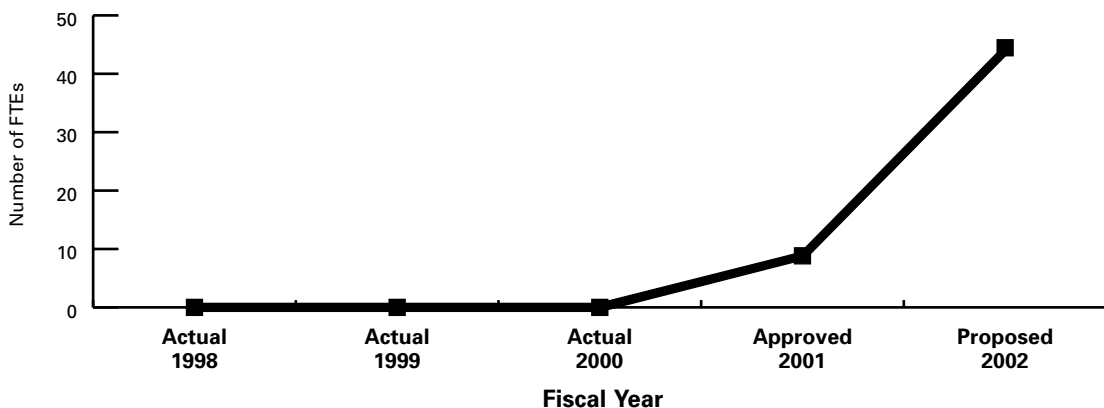


Table GD0-3

FY 2002 GD0 Proposed Operating Budget, by Revenue Type

(dollars in thousands)

State Education Office

	Actual FY 1998	Actual FY 1999	Actual FY 2000	Approved FY 2001	Proposed FY 2002
Local	0	0	0	1,679	19,911
Federal	0	0	0	0	26,917
Other	0	0	0	0	542
Intra-District	0	0	0	0	480
Gross Funds	0	0	0	1,679	47,850

IPEDS is a federal program established for the collection and analysis of student and program-level data at the postsecondary level. The data are provided in an annual report to the U.S. Department of Education's Integrated Postsecondary Education Data System.

Research and Analysis

The total proposed FY 2002 budget for the Research and Analysis program is \$431,549 and 6 FTEs. Of the total budget for this program, \$246,122 is local funds and \$185,427 is other funds. These funds support the following duties outlined in D.C. Act 13-387 under the SEO's short-term plan:

- Conducting an audit of the number of students enrolled in D.C. Public Schools and D.C. Public Charter Schools by conducting an annual audit of student enrollment.
- Developing rules to govern the process of verifying District residency of students enrolled in D.C. Public Schools and Public Charter Schools.
- Making recommendations regarding the Uniform Per Student Funding Formula. The SEO convenes a group of stakeholders, including representatives of D.C. Public Schools, D.C. Public Charter Schools, District Council, and the Office of the Chief Financial Officer to develop recommendations. To inform its recommendations, the SEO conducts studies to determine adequate funding levels for students across grade levels and areas of special need, such as limited or non-English proficient students and students with disabilities.

- Conducting research to determine whether it will assume any number of ten additional duties for its long-term plan proposed in D.C. Act 13-387. This activity is currently underway.

Funding Summary

The FY 2002 proposed budget increase is the result of the transfer of the School Food and Nutrition Program, the Office of Postsecondary Education Research and Assistance, and the Tuition Assistance Grant program to the SEO. The transfer of these programs increased the local budget by \$18,232,216 and the federal budget by \$27,397,033. Refer to the FY 2002 Operating Appendices (bound separately) for details.

Local

The SEO's FY 2002 proposed local budget of \$19,911,326 represents a net increase of \$18,232,216, or 1,086 percent, over the FY 2001 approved budget of \$1,679,110. The majority of the FY 2002 local funds increase is due to an increase of \$17,000,000 for the agency's acquisition of the Tuition Assistance Grant Program, an increase of \$720,000 to provide a local funds match for the federal Special Nutrition and Commodities Program, and a \$557,000 increase for the transfer of postsecondary level programs from the Office of Postsecondary Research and Assistance, formerly of the Department of Human Services. The local budget supports about 27 FTEs.

Federal

The SEO's FY 2002 total proposed federal budget is \$26,917,033, which includes funds for a total of 10 FTEs.

This budget increased due to the agency's acquisition of the Special Nutrition and Commodities grant (\$26,303,175) and the Eisenhower Professional Development grant (\$427,821) among others.

Other

The SEO's FY 2002 total proposed budget for other funds is \$541,977, which funds about 6 FTEs.

Intra-District

The SEO's FY 2002 total proposed budget for intra-District funds is \$480,000, which supports approximately 2 FTEs. This funding level supports the Tuition Assistance program for TANF recipients.

Trend Data

Table GD0-3 and Figure GD0-2 show expenditure and employment histories for FY 1998-Proposed FY 2000.

Agency Goals and Performance Measures

Goal 1. Oversee federally funded child nutrition programs.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Michele Tingling-Clemmons, Director, State Agency for Special Nutrition and Commodity Distribution Programs

Supervisor: Sharon Bland, Interim Director, State Education Office

Performance Measure 1.1: Increase participation in the Summer Feeding Program (thousands of participants)

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	NA	NA	20	23	25
Actual	NA	NA	–	–	–

Performance Measure 1.2: Hold community outreach and nutrition education workshops (number of workshops)

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	NA	NA	7	10	14
Actual	NA	NA	–	–	–

Performance Measure 1.3: Percentage of regulatory compliance for federally funded child nutrition programs met

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	NA	NA	80	85	90
Actual	NA	NA	–	–	–

Goal 2. Formulate and promulgate the rules for the documentation and verification of District residency for public and public charter school students.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Sharon Bland, Interim Director, State Education Office

Supervisor: Sharon Bland, Interim Director, State Education Office

Performance Measure 2.1: Percentage of public school students who have provided proof of District residency

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	NA	NA	80	85	90
Actual	NA	NA	–	–	–

Note: School year 2001 (9/2000-6/2001), school year 2002 (9/2001-6/2002), school year 2003 (9/2002-6/2003)

Performance Measure 2.2: Percentage of public charter school students who have provided proof of District residency

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	NA	NA	80	85	90
Actual	NA	NA	–	–	–

Note: School year 2001 (9/2000-6/2001), school year 2002 (9/2001-6/2002), school year 2003 (9/2002-6/2003)

Goal 3. Verify annual fall enrollment counts for all public and public charter schools.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Sharon Bland, Interim Director, State Education Office

Supervisor: Sharon Bland, Interim Director, State Education Office

Performance Measure 3.1: Produce an audit report for fall enrollment counts to be transmitted to the Mayor

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	NA	1	1	1
Actual	NA	NA	-	-	-

Performance Measure 3.2: Percentage of enrollment counts that have been verified by the SEO and that the Mayor has transmitted to the City Council, the Financial Responsibility and Management Assistance Authority (if required), the Comptroller General of the United States, and appropriate congressional committees no later than December 31 of the same year

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	NA	99	99	99
Actual	NA	NA	-	-	-

Goal 4. Recommend periodic revisions to the Uniform Per Student Funding Formula.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Sharon Bland, Interim Director, State Education Office

Supervisor: Sharon Bland, Interim Director, State Education Office

Performance measure 4.1: Percentage of the "Two-year Review" of the Per Student Funding Formula completed and accepted

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	NA	NA	99	99
Actual	NA	NA	-	-	-

Note: Recommendations from 2002 report will be translated into measures.

Goal 5. Increase the number of program applicants and ensure that applicants are processed quickly.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Laurent Ross, Director, Tuition Assistance Grant Office

Supervisor: Sharon Bland, Interim Director, State Education Office

Performance Measure 5.1: Number of freshmen applicants (first-year students)

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	1,100	2,070	2,380	2,735
Actual	NA	1,800	-	-	-

Performance Measure 5.2: Number of upperclassmen applicants

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	900	1,950	2,242	2,580
Actual	NA	1,500	-	-	-

Goal 6. Increase awareness of the programs.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Laurent Ross, Director, Tuition Assistance Grant Office

Supervisor: Sharon Bland, Interim Director, State Education Office

Performance Measure 6.1: Percentage of DC Public School seniors contacted directly by DCPS school counselors, dissemination of program brochures by office counselors, marketing of PSAs through local radio stations and advertisement in community and local newspapers

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	NA	75	80	85
Actual	NA	NA	-	-	-

Performance Measure 6.2: Percentage of DC residents attending college who receive Federal financial aid that are aware of the program

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	NA	80	85	87
Actual	NA	NA	-	-	-

Measure 6.3: Average number of working days from receipt to response of applications

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	NA	15	18	15	14
Actual	NA	14	-	-	-

Performance Measure 6.4: Percentage of all institutions cited as preferences on applications that complete program participation agreements

	1999	2000	Fiscal Year		2003
			2001	2002	
Target	NA	95	85	90	95
Actual	NA	97	-	-	-

Goal 7. Provide good customer service.

Citywide Strategic Priority Areas: Making government work

Manager: Laurent Ross, Director, Tuition Assistance Grant Office

Supervisor: Sharon Bland, Interim Director, State Education Office

Performance Measure 7.1: Percentage of inquiry correspondence closed within five working days

	1999	2000	Fiscal Year		2003
			2001	2002	
Target	NA	50	75	80	85
Actual	NA	75	-	-	-

Performance Measure 7.2: Agency's telephone rating score, using the citywide scale of 1 (poor) to 5 (excellent)

	1999	2000	Fiscal Year		2003
			2001	2002	
Target	NA	3	4	4	4
Actual	NA	3	-	-	-

D.C. Resident Tuition Support

FY 2002 Proposed Operating Budget: \$0
FY 2002 Proposed Capital Budget: \$0

The D.C. Resident Tuition Support program is established to afford high school graduates from the District of Columbia the benefits of in-state tuition at state colleges and universities outside the District of Columbia, and for other purposes. In general, the purpose is to establish a program that enables college-bound residents of the District of Columbia to have greater choices among institutions of higher education.

The FY 2002 proposed operating budget is \$0, a decrease of \$17,000,000 from the FY 2001 approved budget.

Budget Summary

The FY 2002 proposed operating budget for D.C. Resident Tuition Support agency is \$0, a decrease of \$17,000,000 or 100 percent from the FY 2001 approved budget. For FY 2002, funding is proposed to be transferred to the State Education Office (SEO). The SEO will perform the services of this program; therefore, the related ongoing costs of this activity are incorporated within the SEO's operating budget for FY 2002.

District of Columbia Public Charter Schools

FY 2002 Proposed Operating Budget	\$142,257,101
FY 2002 Proposed Capital Budget	\$0

The District of Columbia Public Charter Schools provide an alternative free education for students who reside in the District of Columbia.

The FY 2002 proposed operating budget is \$142,257,101, an increase of \$37,257,101, or 35.5 percent over the FY 2001 approved budget.

Budget Summary

The FY 2002 proposed budget for all sources for the District of Columbia Public Charter Schools (PCS) is \$142,257,101, an increase of \$37,257,101, or 35.5 percent, over the FY 2001 approved budget of \$105,000,000 (table GC0-1). In FY 2002, a total of 13,600 students are projected to enroll in charter schools. Of the total projected population, 12,428 students (91%) are projected to enroll in existing charter schools for a total of \$124,317,011 of the total allocation. The remaining 1,172 (9%) will enroll in newly established public charter schools for a total of \$10,708,799.

The increase is due primarily to a 21 percent increase in projected enrollment for charter schools from 11,203 in FY 2001 to 13,600 in FY 2002. This budget supports 33 existing charter schools, 5 newly established charter schools, and the District of Columbia Public Charter School Board. It does not support any full-time equivalents (FTEs). The newly established charter schools are Thurgood Marshall Academy, Tri-Community, Sasha Bruce, Washington Public Charter School for Academic Excellence, and KIPP Academy. Federal grants for public charter schools are administered by the District of Columbia Public Schools and the State Education Office.

Strategic Issues

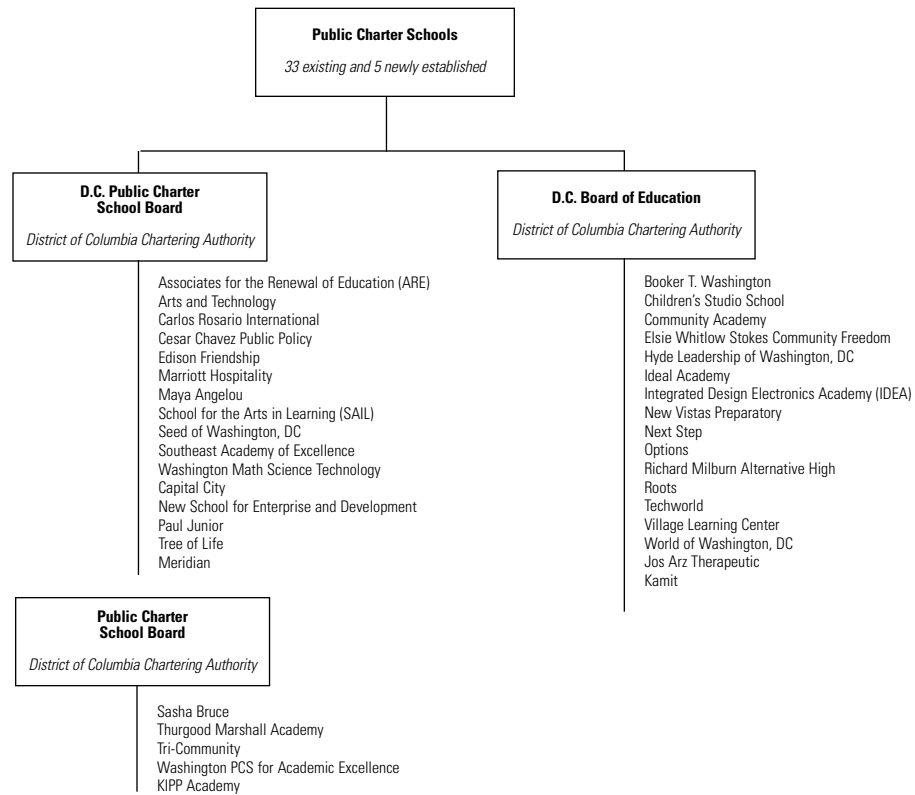
- Provide a public school system in which all District parents and students can select the schools they would like to attend.
- Provide students with exposure to career pathways and focus on particular areas of study to further enhance students' academic experience.
- Bring innovation in teaching and learning through the establishment of schools with the flexibility to develop customized approaches to enhance youth development and improve students' academic experience.
- Initiate incentives for D.C. Public Schools to reform by introducing competition in the public school system.
- Provide examples of successful instructional practices and effective curricula to be implemented in D.C. Public Schools and public charter schools in the future.

FY 2002 Initiatives

- Monitor the operations of each public charter school to ensure it conforms with the requirements of its charter.

Figure GC0-1

D.C. Public Charter Schools



- Ensure that each public charter school complies with relevant District and federal laws and provisions of the charter granted to such school.
- Monitor the progress of each charter school in meeting academic achievement expectations specified in each charter granted.

Agency Background

In 1996, the U.S. Congress and the District of Columbia City Council passed legislation to establish public charter schools in the District of Columbia. The legislation established a program structure for charter schools that includes two chartering authorities (the Board of Education and the District of Columbia Public Charter School Board) and a separate Board of Trustees for each charter school.

Programs

Each charter school is an autonomous, nonsectarian institution that cannot charge tuition to students who are District residents or impose discriminatory admissions policies or tests. As autonomous public education institutions and within authorized limitations, charter schools exercise control over their education programs, instruction methods, expenditures, administration, personnel, contracts for goods and services, leases, and purchases of school facilities. Some charter schools focus on a particular area of concentration to help establish career pathways for students and to address their varied educational needs, physical and/or emotional disabilities, and limited English-language proficiency. Figure GC0-1 displays the organization of the D.C. Public Charter Schools.

Although they function autonomously, each charter school's Board of Trustees is required to

Table GC0-1

FY 2002 Proposed Operating Budget, by Comptroller Source Group

(dollars in thousands)

Public Charter Schools

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Subsidies and Transfers	49,936	105,000	142,257	37,257
<i>Subtotal Nonpersonal Services (NPS)</i>	<i>49,936</i>	<i>105,000</i>	<i>142,257</i>	<i>37,257</i>
Total Proposed Operating Budget	49,936	105,000	142,257	37,257

report the charter school's progress to one of two chartering authorities—the District of Columbia Board of Education or the District of Columbia Public Charter School Board. Each charter school's Board of Trustees governs the school according to its charter and the provisions of current law, and helps secure funding, technical assistance, and other instructional and financial support. The chartering authorities grant charters to qualified schools, monitor each charter school's operations to ensure compliance with applicable laws and the provisions of its charter, and revoke charters in cases of poor performance and mismanagement.

Funding Summary

The budget for charter schools comes from local funds and is primarily derived according to the Uniform Per Student Funding Formula (UPSFF) (table GC0-2). In accordance with the D.C. School Reform Act of 1995, and the D.C. Act 12-494, the UPSFF determines the operating funds for D.C. Public Schools and charter schools based on student enrollments and the special services students require, including special education, English as a Second Language, or bilingual education courses. The UPSFF provides a foundation, or basic, level of funding for each student. A series of add-on weights, or supplemental funds, are then applied to the foundation level to adjust the funding for each student based on grade-level, special education classification, English language proficiency, and attendance at a residential, boarding, or summer school. The FY 2002 foundation funding is \$5,907 per student, or 3.13 percent above the

FY 2001 foundation level of funding of \$5,728 per student. The foundation funding for FY 2002 was applied to the FY 2002 projected enrollment. The latter is made up of the official enrollment count taken in October of FY 2001, the projected enrollment for the expansion of existing charter schools, and the beginning enrollment for newly established charter schools scheduled to open in FY 2002.

PCS does not receive capital funds. Instead, a facilities allowance is applied to each student according to whether the student attends a regular day school or a residential school. The facilities allowance for charter schools is calculated pursuant to Section 109 of D.C. Code 31-2908, Facilities Allowance for Public Charter Schools. This per-pupil dollar amount helps schools subsidize facilities costs such as rent and utilities. The FY 2002 facilities allowance was calculated by averaging the FY 2001 projected DCPS capital improvement funds per pupil with the PCS facilities allowances from FY 1999, 2000, and 2001. The charter schools' FY 2002 budget includes a facilities allowance of \$1,422 per nonresidential student, a decrease of 4 percent, or \$60, from the FY 2001 facilities allowance of \$1,482 per student.

The total FY 2002 proposed budget for PCS is divided into two major allocations, one for each chartering authority. These allocations consist of the individual schools' allocations, a 5 percent set-aside for each school, and funds for the chartering authority (if applicable).

The total FY 2002 allocation for charter schools under the authority of the Board of Education is \$52,086,081, or 37 percent, of the

Table GC0-2

FY 2002 Per Pupil Funding Analysis (D.C. Act 12-494)**D.C. Public Charter Schools**

Foundation level per pupil:	\$5,907
Nonresidential Facilities Allotment:	\$1,422
Residential Facilities Allotment:	\$3,839

Grade Level	Weighting	Old Schools Enrollment	New Schools Enrollment	Total Enrollment	Per Pupil Allocation	Total Dollars
Pre-School	1.16	153	72	225	\$6,852	\$1,541,802
Pre-Kindergarten	1.16	362	0	362	\$6,852	\$2,480,588
Grades K - 5	1.05	4,414	930	5,344	\$6,203	\$33,146,965
Ungraded ES	1.05	165	0	165	\$6,203	\$1,023,437
Grades 6 - 8	1.00	2,280	90	2,370	\$5,907	\$14,000,269
Ungraded MS/JHS	1.00	9	0	9	\$5,907	\$53,166
Grades 9 - 12	1.30	4,203	80	4,283	\$7,679	\$33,428,743
Ungraded SHS	1.30	72	0	72	\$7,679	\$552,922
Alternative	1.30	0	0	0	\$7,679	\$0
Adult	0.75	770	0	770	\$4,430	\$3,411,458
Total		12,428	1,172	13,600		\$89,101,786

Add-on Weightings for NONRESIDENTIAL Students

Special Needs Education

Level 1	0.22	221	62	283	\$1,300	\$367,788
Level 2	0.80	395	75	470	\$4,726	\$2,221,140
Level 3	1.73	415	46	461	\$10,220	\$4,711,238
Level 4	3.20	177	1	178	\$18,903	\$3,364,790
LEP / NEP	0.40	543	67	610	\$2,363	\$1,441,378
Total		1,751	251	2,002		\$12,106,334

Add-on Weightings for RESIDENTIAL Students

Special Needs Education

Residential	Level 1	0.374	2	0	2	\$2,209	\$4,419
Residential	Level 2	1.360	9	0	9	\$8,034	\$72,305
Residential	Level 3	2.941	9	0	9	\$17,373	\$156,360
Residential	Level 4	2.924	6	0	6	\$17,273	\$103,637
Residential	Level 5	9.40	90	0	90	\$55,528	\$4,997,564
LEP / NEP		0.68	0	0	0	\$4,017	\$0
Residential		1.70	256	0	256	\$10,042	\$2,570,851
Total			372	0	372		\$7,905,137

Summer School	0.17	5,383	550	5,933	\$1,004	\$5,958,148
Nonresidential Facilities Allotment		12,172	1,172	13,344	\$1,422	\$18,971,699
Residential Facilities Allotment		256	0	266	\$3,839	\$982,707
Total Allocation for ALL PCS						\$135,025,810
Total 5 Percent Set-Aside for ALL PCS						\$6,751,291
Total Allocation for D.C. Public Charter School Board						\$480,000
Total PCS Budget						\$142,257,101

Table GC0-3

FY 2002 Proposed Operating Budget, by Revenue Type

(dollars in thousands)

D.C. Public Charter Schools

	Actual FY 1998	Actual FY 1999	Actual FY 2000	Approved FY 2001	Proposed FY 2002
Local	3,195	11,113	46,480	105,000	142,257
Federal	0	14,126	0	0	0
Other	0	0	3,455	0	0
Gross Funds	3,195	25,239	49,935	105,000	142,257

total FY 2002 proposed operating budget. The allocation represents funding for a projected enrollment of 4,288 students in 17 existing charter schools (\$49,605,791) and a 5 percent set-aside (\$2,480,290) to fund school costs if actual enrollment exceeds the FY 2002 projections. The projected enrollment is 32 percent of the total projected student enrollment for all charter schools under both chartering authorities. Of the students projected to enroll in charter schools under the Board of Education, 560 students (13%) are special education students; 139 (3%) are limited or non-English proficient students; and 3,117 (73%) will participate in a summer school program. Funding to support the administrative functions of the Board of Education chartering authority are included in the budget for D.C. Public Schools, since the Board of Education primarily resides within and serves that agency.

The total FY 2002 allocation for charter schools under the authority of the D.C. Public Charter School Board is \$90,171,020, or 63 percent of the total FY 2002 proposed operating budget.

The allocation represents funding for a projected enrollment of 8,140 students in 16 existing charter schools (\$74,711,220), 1,172 students in five newly established charter schools (\$10,708,799), a 5 percent set-aside (\$4,271,001) to fund school costs if actual enrollment exceeds the FY 2002 enrollment projections, and \$480,000 to support the administrative functions of the D.C. Public Charter School Board.

In sum, 9,312 students are projected to enroll in existing or new schools under the D.C. Public Charter School Board. The projected enrollment is 68 percent of the total projected student enrollment for all charter schools under both chartering authorities. Of the total number of students projected to enroll in charter schools under the D.C. Public Charter School Board, 922 students (10%) are special education students; 471 (5%) are limited or non-English proficient students; and 2,816 (30%) will participate in a summer school program. Refer to the FY 2002 Operating Appendices (bound separately) for details.

University of the District of Columbia

FY 2002 Proposed Operating Budget:	\$85,340,561
FY 2002 Proposed Capital Budget:	\$14,156,000
FY 2002–FY 2007 Proposed Capital Improvements Plan:	\$30,892,000

The University of the District of Columbia is an urban land-grant institution of higher education with an open admissions policy. It is a comprehensive public institution offering quality, affordable, post-secondary education to D.C. residents at the certificate, associate, baccalaureate, and graduate levels. These programs prepare students for immediate entry into the workforce, for the next level of education, for specialized employment opportunities, and for lifelong learning.

The FY 2002 proposed operating budget is \$85,340,561, a decrease of \$769,248, or 0.9 percent, from the FY 2001 approved budget.

The FY 2002 proposed capital budget totals \$14,156,000.

Budget Summary

The FY 2002 proposed operating budget for the University of the District of Columbia (UDC) is \$85,340,561, a decrease of \$769,248, or 0.9 percent, from the FY 2001 approved budget (table GF0-1). There are 1,132 full-time equivalent positions (FTEs) supported by this budget, an increase of 201 FTEs over FY 2001 (table GF0-2). The increase in FTE positions is requested in order to include adjunct professors in the University's position authority for FY 2002.

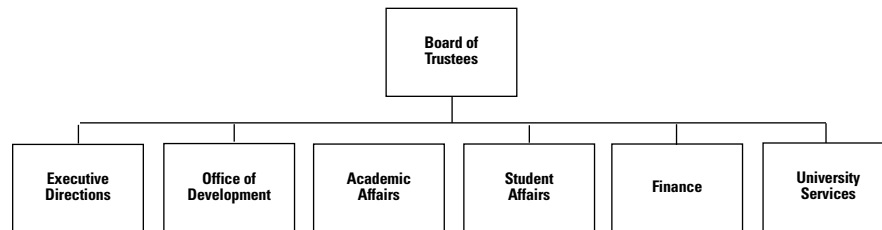
The FY 2002 proposed capital budget is \$14,156,000 for FY 2002 and totals \$30,892,000 for FY 2002–FY 2007. This funding will support three existing capital projects and nine proposed capital projects.

Strategic Issues

- Maintain and or attain academic program currency and program accreditation.
- Enhance the public image of the university.
- Expand the number of community college gateway courses and certificate, certification, and adult education programs aligned to regional labor needs.
- Establish high-demand baccalaureate, graduate, associate, certificate, and skills-development programs.
- Upgrade technology infrastructure to include campus wiring, local area network (LAN) support, Web site expansion, Web-based instruction and student services, classroom support, and the university-based platform.
- Establish and operate satellite campus locations.

Figure GF0-1

University of the District of Columbia



- Improve financial aid and the overall effectiveness and efficiency of other student support services for various student groups (e.g., disabled and international).
- Improve financial operations, including financial reporting, accounting functions, management information, student accounts and cashiering, and audit functions.
- Establish positions for critical understaffed or understaffed University Services functions.
- Increase faculty and staff development opportunities.
- Improve the efficiency and effectiveness of university operations in a variety of areas, including university services, student services, governmental relations, public relations, and fundraising.
- Increase collaborative efforts with District government agencies.
- Implement the recommendations of the campus capital improvement plan for technology and physical improvements.
- Expand upon the university's Web-based instructional presence.
- Establish a network of 21st Century Learning Centers throughout the District.
- Purchase and install special software to expedite processing of financial aid data.
- Develop a student advisory board that meets with the university president and other senior officers.
- Develop scholarships specifically for international students.
- Conduct special training programs designed to provide unique academic support and related services for students with disabilities.
- Develop closer working relationships with the chief financial officer (CFO) and contributory areas.
- Align the District's fiscal year with the university's academic year to allow the institution to receive funding at a time that coincides with the institution's beginning of the year.
- Support the efforts of the CFO to acquire and install technological infrastructure that supports fiscal activities and the critical staff essential to making the infrastructure work.
- Ensure that the computer center and the CFO have common understandings of reports and the timing with which they must be generated.
- Acquire critical personnel in the high-need areas of facilities management, hazardous waste

FY 2002 Initiatives

- Develop performance expectations for the Effective Institutions program.
- Seek disciplinary accreditation for each applicable degree program.
- Develop and implement an academic plan.
- Advertise activities in newspapers and other media forms.
- Incorporate the academic plan procedures such as institutional mission and vision, value orientation, and institutional image, and clarify target populations being served by the institution.
- Identify high-demand areas and develop applicable programs.
- Develop cooperative working relationships with District agencies.
- Develop articulation agreements with all area community colleges.

Table GF0-1

FY 2002 Proposed Operating Budget, by Comptroller Source Group

(dollars in thousands)

University of the District of Columbia

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Regular Pay - Cont. Full Time	0	29,036	29,976	940
Regular Pay - Other	0	13,806	12,904	-901
Additional Gross Pay	0	86	71	-15
Fringe Benefits	0	9,057	9,108	51
<i>Subtotal Personal Services (PS)</i>	<i>0</i>	<i>51,985</i>	<i>52,060</i>	<i>74</i>
Supplies and Materials	0	2,087	4,813	2,726
Utilities	0	2,599	90	-2,509
Communications	0	1,463	1,380	-83
Rentals - Land and Structures	0	490	512	22
Janitorial Services	0	0	714	714
Other Services and Charges	0	7,590	6,837	-752
Contractual Services	0	6,132	6,394	262
Subsidies and Transfers	40,491	9,594	8,955	-639
Equipment and Equipment Rental	0	4,170	3,587	-583
<i>Subtotal Nonpersonal Services (NPS)</i>	<i>40,491</i>	<i>34,124</i>	<i>33,281</i>	<i>(844)</i>
Total Proposed Operating Budget	40,491	86,110	85,341	(769)

Table GF0-2

Full-Time Equivalent Employment LevelsUniversity of the District of Columbia

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Continuing full time	500.25	688.00	572.00	-116.00
Term full time	216.00	243.00	560.00	317.00
Total FTEs	716.25	931.00	1,132.00	201.00

- management, telecommunications technology, contract monitoring, and inventory control.
- Establish an annual professional development seminar plan at the school and university levels to address faculty needs.

- Incorporate findings from faculty and staff evaluations in the faculty- and staff-development initiatives.
- Retain a fundraiser to lead the institution's efforts in this area.

- Secure the personnel and develop the infrastructure essential to effectuating this strategic issue.
- Establish performance expectations for every work area.

Agency Background

The University of the District of Columbia is the only public institution of higher education in the District. It was chartered in 1974 as an urban land-grant institution with an open admissions policy. It is accredited by the Commission of Higher Education of the Middle States Association of Colleges and Schools.

The university functions as a graduate, baccalaureate, and community college degree-granting institution. It also offers courses for District residents to upgrade job skills. UDC enrolls more than 20,000 students per year, approximately 5,000 students in credit courses and 15,000 in noncredit courses, through its Division of Community Outreach and Extension Services.

Programs

The University of the District of Columbia comprises six program areas (figure GF0-1).

Executive Direction provides leadership for central executive activities concerned with management and long-range planning for the entire institution. It includes the Office of the President, University Counsel, and Marketing.

The **Office of Development** serves to create an image that faithfully portrays the true essence of the university. Alumni Affairs, Cable Television, Communications, and Institutional Giving work together to achieve this goal.

Academic Affairs is responsible for all activities that are part of the land-grant mission of the university, including teaching, departmental research, and public services activities. Academic Affairs is divided into the following sub-areas: College of Arts and Sciences, School of Business and Public Administration, School of Engineering and Applied Science, David A. Clark School of Law, Learning Resources Division, and Community Outreach and Extensions Services.

Law School

The University of the District of Columbia David A. Clarke School of Law (UDC-DCSL) was formed by the merger with the District of

Columbia School of Law (DCSL) in 1996.

The School of Law seeks to: 1) provide a high quality legal education that enables students to both pass the bar examination and represent clients competently; 2) recruit and enroll students who are members of racial, ethnic, or other population groups traditionally under-represented at the bar; and 3) by way of its excellent program of clinical legal education, empower students to provide legal services to low-income and disadvantaged residents of the District of Columbia, including children, the elderly, women and children affected by the AIDS epidemic, tenants and tenant organizations, prisoners, and others who would otherwise go without competent legal representation.

Funding for the Law School from all sources totals \$4,073,554. Its entire local budget, \$694,421, is in personal services.

State Education Agency (SEA)

The State Education Agency is a major program within the University's Community Outreach and Extension Services Division. It is responsible for: (1) providing improved educational opportunities for adults who lack the level of literacy skills needed for more effective functioning as citizens, parents, and workers; (2) expanding and improving the current system for delivering adult education services, including delivery of such services to educationally disadvantaged adults, previously incarcerated adults, the homeless, and speakers of other languages; and (3) encouraging the establishment of adult education programs.

Funding for the State Education Agency totals \$2,500,206 in gross funds, and \$1,551,806 in local funds. Personal services are \$581,799 and non-personal services are \$970,007.

Student Affairs is responsible for all activities that support the student body in Registration, Enrollment Management, Student Life, College Preparatory Programs, Financial Aid, Student Organizations, and Athletics.

Finance is responsible for operations related to fiscal control and investments. These include general accounting, student financial services, budget development and financial reporting, the cashier's office, grant and contract administration, accounts payable, and pay service.

University Services is responsible for general and administrative operations and services includ-

ing personnel administration, space management, purchasing and maintenance of supplies and materials, printing shop, and safety services. In addition, operation and maintenance of the physical plant is included in this area.

Funding Summary

Local

The proposed local budget is \$45,911,988, an increase of \$1,221,022 over the FY 2001 approved budget. Local funds support 545 FTEs, an increase of 40 over the FY 2001 approved budget. Refer to the FY 2002 Operating Appendices (bound separately) for details.

Significant changes within the local budget are:

- \$479,073 decrease in other services and charges due to reduced reliance on outside services
- \$1,036,950 decrease due to the transfer to the Office of the Chief Technology Officer (OCTO) for data center consolidation

- \$1,500,000 increase for educational initiatives
- \$485,000 increase for adult education.

Federal

The proposed federal budget is \$12,538,700, a decrease of \$659,819 from the FY 2001 approved budget. The decrease is primarily due to the discontinuance of a 5-year Federal Direct Student Loan grant and a decrease of subsidies and transfers of \$1,845,369 in anticipation of accurate and efficient distribution of funds to the university's program areas. This budget supports 169 FTEs, a decrease of 31 FTEs from FY 2001.

Private

The proposed private budget is \$846,913, a decrease of \$452,087 from the FY 2001 approved budget. The decrease is primarily due to the discontinuance of the Family Community Violence Prevention grant. This budget supports 13 FTEs, a decrease of 21 FTEs from FY 2001.

Table GF0-3

Capital Improvements Plan, FY 2000 - FY 2007

(dollars in thousands)

University of the District of Columbia

Cost Elements	EXPENDITURE SCHEDULE										Total Budget
	Through FY 2000	Budgeted FY 2001	Year 1 Total	Year 2 FY 2002	Year 3 FY 2003	Year 4 FY 2004	Year 5 FY 2005	Year 6 FY 2006	6 Years FY 2007	Budget	
a. Design:	520	901	1,421	1,663	831	560	0	0	0	3,054	4,475
b. Site:	0	0	0	0	0	0	0	0	0	0	0
c. Project Mngmnt:	2,215	65	2,280	411	1,249	1,330	0	0	0	2,990	5,270
d. Construction:	24,014	2,610	26,624	12,082	8,085	4,281	0	0	0	24,448	51,072
e. Equipment:	0	0	0	0	400	0	0	0	0	400	400
Total:	26,749	3,576	30,325	14,156	10,565	6,171	0	0	0	30,892	61,217

Cost Elements	FUNDING SCHEDULE										Total Budget
	Through FY 2000	Budgeted FY 2001	Year 1 Total	Year 2 FY 2002	Year 3 FY 2003	Year 4 FY 2004	Year 5 FY 2005	Year 6 FY 2006	6 Years FY 2007	Budget	
a. Long Term Financing:	31,806	333	32,139	12,342	10,565	6,171	0	0	0	29,078	61,217
b. Tobacco Securitization:	0	0	0	0	0	0	0	0	0	0	0
c. Grants:	0	0	0	0	0	0	0	0	0	0	0
d. Pay Go:	0	0	0	0	0	0	0	0	0	0	0
e. Hwy Trust Fund:	0	0	0	0	0	0	0	0	0	0	0
f. Equipment Lease	0	0	0	0	0	0	0	0	0	0	0
g. Alternative Financing	0	0	0	0	0	0	0	0	0	0	0
h. Other:	0	0	0	0	0	0	0	0	0	0	0
Total:	31,806	333	32,139	12,342	10,565	6,171	0	0	0	29,078	61,217

Table GF0-4

FY 2002 Proposed Operating Budget, by Revenue Type

(dollars in thousands)

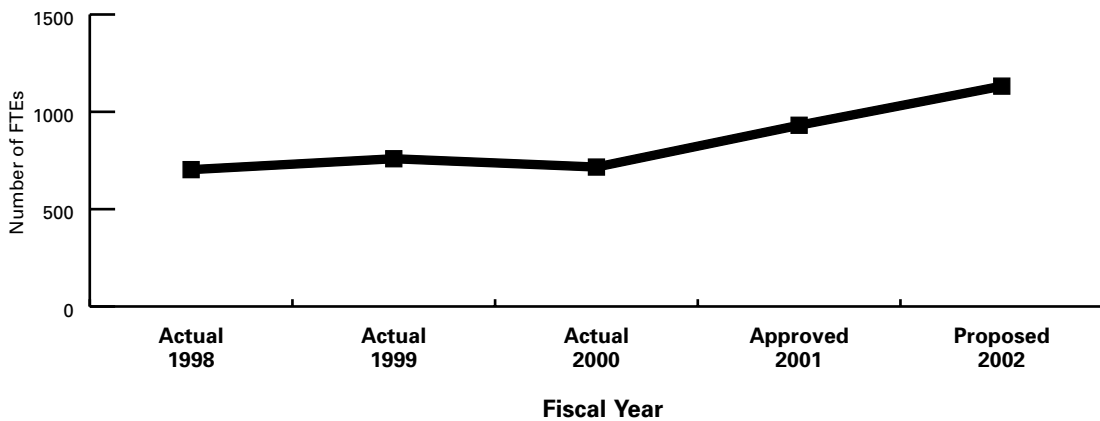
University of the District of Columbia

	Actual FY 1998	Actual FY 1999	Actual FY 2000	Approved FY 2001	Proposed FY 2002
Local	42,891	50,094	40,491	44,691	45,912
Federal	7,541	0	0	13,199	12,539
Private	749	970	0	1,299	847
Other	13,567	14,589	0	17,244	17,244
Intra-District	5,515	4,510	0	9,677	8,799
Gross Funds	70,262	70,164	40,491	86,110	85,341

Figure GF0-2

UDC Employment Levels, FY 1998–Proposed FY 2002

(gross FTEs)

**Other**

The proposed Other (O-type) budget is \$17,244,324, unchanged from the FY 2001 approved budget. Funding sources include tuition proceeds, indirect cost fees, investment income, and fees and other sources. These funds support 245 FTEs, an increase of 168 over FY 2001. The difference is due to incorporating reports that reflect all FTEs for the fiscal year, including those who start work during the spring semester of the academic year, such as adjunct professors.

Intra-District

The proposed intra-District budget is \$8,798,636, a decrease of \$878,364 from the FY 2001 approved budget. This budget supports a number of educational agreements with District agencies, including those with the Department of Public Works and D.C. Public Schools. These funds support 160 FTEs, an increase of 45 FTEs.

Capital Improvements

The FY 2002 proposed capital budget is \$14,156,000 for FY 2002 and totals \$30,892,000 for FY 2002–FY 2007 (table GF0-3). This funding will support three existing capital projects and nine proposed capital

projects. The proposed new capital funding is \$2,285,100 in FY 2002 and \$9,814,300 for FY 2002 through FY 2007. The university's planned expenditures for ongoing projects are \$11,870,900 in FY 2002 and \$21,077,700 for FY 2002–FY 2007.

UDC's proposed capital program will address the modernization and renovation of several buildings on the Van Ness campus. The FY 2002 budget will add additional funding to continue the campuswide modernization project, including renovation of 238 classrooms, technology enhancements, electrical upgrades, and general maintenance improvement.

The university's current capital programs address repair and replacement of elevators, emergency repair and replacement of mechanical and electrical systems, and general improvements to health- and life-safety systems to bring the facilities up to code and make them ready to meet the academic challenges of a new century. Refer to the FY 2002 Capital Appendices (bound separately) for details.

Trend Data

Table GF0-4 and figure GF0-2 show expenditure and employment histories for FY 1998–Proposed FY 2002.

Agency Goals and Performance Measures

Note: FY 2001 actual figures are projections based on the fall semester's figures.

Goal 1. Provide a range of educational, training, research, and community service assistance that benefits the residents and organizations of the city and results in an improved quality of life.

Citywide Strategic Priority Area: Building and sustaining healthy neighborhoods

Managers: Alford Ottley, Associate Provost; Rachel Petty, Dean, College of Arts and Sciences; Ben Latigo, Dean, School of Engineering and Applied Sciences; Herbert Quigley, Dean, School of Business and Public Administration; Roland Holstead, Dean, Division of Outreach and Extension; K. Shelley Broderick, Dean, School of Law; Deborah Lyons, Director, Center for Applied Research and Urban Policy
Supervisors: Julius F. Nimmons, President; Vernon

L. Clark, Ph.D., Provost and Vice President for Academic Affairs

Performance Measure 1.1: Number of research projects in critical neighborhoods/communities, citizen's forums, and information exchange sessions

	1999	2000	Fiscal Year 2001	2002	2003
Target	4	5	5	10	10
Actual	4	6	7	-	-

Performance Measure 1.2: Number of incidents of on-campus crime (includes facilities in which the University operates its programs, but does not own)

	1999	2000	Fiscal Year 2001	2002	2003
Target	12	10	9	9	9
Actual	12	10	9	-	-

Performance Measure 1.3: Number of community-based sites that house the University's pre-college and community college programs

	1999	2000	Fiscal Year 2001	2002	2003
Target	1	10	10	10	15
Actual	1	11	11	-	-

Performance Measure 1.4: Number of individuals provided with direct architectural assessment and design support for District homestead purchases

	1999	2000	Fiscal Year 2001	2002	2003
Target	30	40	40	40	40
Actual	30	40	40	-	-

Performance Measure 1.5: Number of individuals with in at-risk neighborhoods that receive educational, research, and technical assistance services

	1999	2000	Fiscal Year 2001	2002	2003
Target	3,000	4,000	5,000	6,000	6,000
Actual	3,000	4,000	5,000	-	-

Performance Measure 1.6: Number of individuals engaging in citizen participation activities across neighborhoods

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	5,000	8,000	9,000	12,000	12,000
Actual	5,000	8,000	9,000	-	-

Performance Measure 1.7: Number of residents served by gerontology and allied health care programs

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	3,700	3,900	4,300	4,800	4,800
Actual	3,700	3,900	4,300	-	-

Goal 2. Provide educational, training, and educational support programs that enhance the academic and vocational-technical skills of District residents and enable them to gain employment, engage in entrepreneurial endeavors, or otherwise to be able to be self-sufficient.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Managers: Alford Ottley, Associate Provost; Rachel Petty, Dean, College of Arts and Sciences; Ben Latigo, Dean, School of Engineering and Applied Sciences; Herbert Quigley, Dean, School of Business and Public Administration; Roland Holstead, Dean, Division of Outreach and Extension; K. Shelly Broderick, Dean, School of Law

Supervisors: Julius F. Nimmons, President; Vernon L. Clark, Provost and Vice President for Academic Affairs

Performance Measure 2.1: Number of adult and continuing education non-degree students

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	8,000	8,000	8,400	10,250	10,250
Actual	8,000	8,000	8,400	-	-

Performance Measure 2.2: Number of undergraduate students (fall semester enrollment)

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	5,500	5,532	5,400	5,800	5,800
Actual	5,532	5,385	5,585	-	-

Performance Measure 2.3: Number of graduate and professional school students (fall semester enrollment)

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	255	330	350	370	370
Actual	255	330	350	-	-

Performance Measure 2.4: Number of residents participating in pre-college programs

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	3,700	3,825	4,000	4,500	4,500
Actual	3,700	3,825	4,000	-	-

Performance Measure 2.5: Number of certificates, certifications, and degrees conferred to students of the University

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	650	650	570	675	675
Actual	679	634	630	-	-

Performance Measure 2.6: Number of programs available at the University, including certificates, certifications, associate degrees, baccalaureate degrees, leisure studies, and personal growth and development

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	95	95	110	115	115
Actual	95	95	112	-	-

Performance Measure 2.7: Number of graduate programs available

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	8	9	12	14	14
Actual	8	9	12	-	-

Performance Measure 2.8: Number of trained health care assistants, aides, paraprofessionals, and professionals

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	340	350	450	500	500
Actual	350	400	450	-	-

Performance Measure 2.9: Number of programs established with training agreements between the District and private sector correctional institutions

	1999	2000	Fiscal Year 2001	2002	2003
Target	7	9	13	17	9
Actual	7	9	9	-	-

Performance Measure 2.10: Number of ex-offenders and their family members receiving training and support services

	1999	2000	Fiscal Year 2001	2002	2003
Target	150	200	250	300	300
Actual	150	200	250	-	-

Goal 3. Align the university's goals, objectives, and activities with the needs and interests of the District of Columbia.

Citywide Strategic Priority Areas: Enhancing unity of purpose and democracy

Managers: Alford Ottley, Associate Provost; Cynthia D. Belton, Special Assistant to the President; Rachel Petty, Dean, College of Arts and Sciences; Ben Latigo, Dean, School of Engineering and Applied Sciences; Herbert Quigley, Dean, School of Business and Public Administration; Roland Holstead, Dean, Division of Outreach and Extension; Deborah Lyons, Director, Center for Applied Research and Urban Policy

Supervisors: Julius F. Nimmons, Jr., President; Vernon L. Clark, Provost and Vice President for Academic Affairs

Performance Measure 3.1: Number of university-District agency partnerships affected

	1999	2000	Fiscal Year 2001	2002	2003
Target	20	25	30	35	45
Actual	20	25	40	-	-

Goal 4. Develop and affect educational, training, research, technical assistance, and community service projects and programs that improve the socio-economic profile of the District.

Citywide Strategic Priority Areas: Promoting economic development

Managers: Alford Ottley, Associate Provost; Cynthia D. Belton, Special Assistant to the President; Rachel Petty, Dean, College of Arts and Sciences; Ben Latigo, Dean, School of Engineering and Applied Sciences; Herbert Quigley, Dean, School of Business and Public Administration; Roland Holstead, Dean, Division of Outreach and Extension; Deborah Lyons, Director, Center for Applied Research and Urban Policy

Supervisors: Julius F. Nimmons, Jr., President; Vernon L. Clark, Provost and Vice President for Academic Affairs

Performance Measure 4.1: Number of university-industry partnerships

	1999	2000	Fiscal Year 2001	2002	2003
Target	27	30	40	45	50
Actual	27	30	47	-	-

Performance Measure 4.2: Number of graduates and program completers entering unsubsidized employment

	1999	2000	Fiscal Year 2001	2002	2003
Target	1,650	1,650	1,570	1,675	1,675
Actual	1,679	1,634	1,630	-	-

Performance Measure 4.3: Number of research, technical assistance, and community service projects that result in enhancements to the city's infrastructure

	1999	2000	Fiscal Year 2001	2002	2003
Target	10	12	15	20	20
Actual	10	12	15	-	-

Goal 5. Improve service delivery to all customers of the University.

Citywide Strategic Priority Areas: Making government work

Managers: Vernon L. Clark, Provost and Vice President for Academic Affairs; Armando Prieto, Acting Vice President for University Services; Laverne Blagmon-Earl, Acting Vice President for Student Affairs; Gregory Davis, Chief Financial Officer; Wassen Encubarre, Chief Procurement Officer

Supervisors: Julius F. Nimmons, Jr., President; Vernon L. Clark, Provost and Vice President for Academic Affairs

Performance Measure 5.1: Number of formal customer complaints

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	26	20	19	10	10
Actual	24	20	10	-	-

Performance Measure 5.2: Response time to queries and requests for service (hours)

	Fiscal Year				
	1999	2000	2001	2002	2003
Target	48	48	48	48	48
Actual	48	48	48	-	-

District of Columbia Public Library

FY 2002 Proposed Operating Budget:	\$27,255,480
FY 2002 Proposed Capital Budget:	\$12,073,040
FY 2002–FY 2007 Proposed Capital Improvements:	\$23,581,304

The District of Columbia Public Library provides environments that invite reading, learning, and community discussion; trained staff and technology to help in finding, evaluating, and using information; and opportunities for children, teenagers, adults, and senior citizens to learn to read and use information resources for personal growth and development.

The FY 2002 proposed operating budget is \$27,255,480, an increase of \$796,834, or 3.0 percent, over the FY 2001 approved budget.

Budget Summary

The FY 2002 proposed operating budget for the District of Columbia Public Library is \$27,255,480, an increase of \$796,834, or 3.0 percent, over the FY 2001 approved budget (table CE0-1). The agency receives 96 percent of its funding from local sources. There are 432.5 full-time equivalents (FTEs) supported by this funding, an increase of 0.5 FTE over FY 2001 (table CE0-2).

The FY 2002 proposed capital budget totals \$12,073,040 for FY 2002 and \$23,581,304 for FY 2002 through FY 2007. This funding is for four existing capital projects and five proposed new capital projects.

- Help bridge the digital divide for children, youth, seniors, and other adults who do not have access to computers.
- Provide neutral, nonthreatening environments for community discourse and civic education.
- Contribute to economic development by attracting residents who value libraries for raising families and encouraging lifelong learning.

The FY 2002 proposed capital budget totals \$12,073,040, a decrease of \$1,163,960, or 9 percent, from the FY 2001 approved budget.

FY 2002 Initiatives

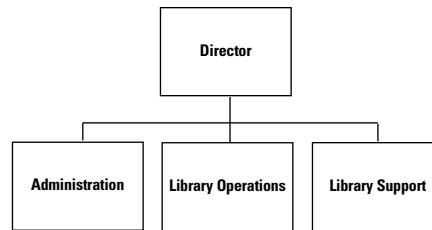
- Expand the Reach Out and Read (ROAR) program to more family day care providers, other preschool centers, and homeless children to help children with language development and early reading skills.
- Serve as a supplement to the public education system by providing additional assistance to school-age children and youth for after school hours through the new Homework Helpers program.

Strategic Issues

- Offer programs and activities that serve residents of all ages and form alliances with local businesses and organizations to enhance efforts to build community and strengthen local initiatives.

Figure CE0-1

District of Columbia Public Library



- Focus resources on helping children succeed in school through the Homework Helpers Plus! centers, which integrate the school curriculum and seek greater parent and teacher involvement.
- Continue collaboration of Literacy Resources Division with literacy providers to coordinate efforts throughout the community and metropolitan area, avoiding duplication of services that are already available.
- Address strategically the critical need for facilities improvement by implementing a comprehensive multiyear capital improvement program that addresses the central library, as well as neighborhood libraries, to maximize their effectiveness in serving residents, organizations, businesses, and government.
- Offer training to help bridge the digital divide through the Libraries OnLine! networks.
- Support the educational efforts of charter schools by providing library services to their students.

Agency Background

An Act of Congress established the D.C. Public Library in 1896 as an independent city agency. The branch system now includes 21 neighborhood libraries, 4 community libraries, a kiosk, and a bookmobile service for senior citizens.

The D.C. Public Library provides special services for the deaf, homebound, blind, and physically disabled and for lower-level adult readers and adult basic education learners. The libraries support the school systems not only through their traditional roles as research facilities but also through free access and training on computers and through their innovative Homework Help Plus! centers.

To encourage early learning, the D.C. Public Library has for several years operated its ROAR program, reaching out to family day care providers, other preschool centers, and homeless children. The Business Express @ Your Library brings together resources that support entrepreneurial and economic development activities.

The D.C. Public Library consists of three main programs: Library Administration, Operations, and Support. Figure CE0-1 is an organizational chart of the system.

Library Administration provides overall direction to the library, including a focus on strategic planning and fund-raising activities; supports and guides library financial management; and manages human resources and training activities for the library.

The FY 2002 proposed gross budget for Library Administration is \$1,862,745, an increase of \$54,269, or 3 percent, over FY 2001. Of this, personal services are \$1,721,097, and nonpersonal services are \$141,648. There are 27 FTEs supported by this program.

Library Operations coordinates the services at the Martin Luther King Jr. Memorial Library and all 26 branch libraries, children's activities, programming and collection development, and adult librarians' activities; and supervises the acquisition of materials, reserves, and the interlibrary loan program.

The FY 2002 proposed gross budget for Library Operations is \$19,662,284, an increase of \$680,513, or 3.6 percent, over FY 2001. Of this, personal services are \$14,496,884, and nonpersonal services are \$5,165,400. There are 328 FTEs supported by this program.

Table CE0-1

FY 2002 Proposed Operating Budget, by Comptroller Source Group

(dollars in thousands)

D.C. Public Library

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Regular Pay - Cont. Full Time	13,728	14,605	14,745	141
Regular Pay - Other	964	1,418	1,577	158
Additional Gross Pay	1,206	568	698	130
Fringe Benefits	2,589	2,772	2,738	-34
<i>Subtotal Personal Services (PS)</i>	<i>18,486</i>	<i>19,363</i>	<i>19,758</i>	<i>395</i>
Supplies and Materials	394	410	439	29
Utilities	1,609	1,551	1,550	-1
Communications	433	258	341	83
Other Services and Charges	940	1,671	1,496	-174
Contractual Services	688	468	301	-167
Equipment and Equipment Rental	2,658	2,737	2,999	262
Debt Service	0	0	370	37
<i>Subtotal Nonpersonal Services (NPS)</i>	<i>6,722</i>	<i>7,095</i>	<i>7,497</i>	<i>402</i>
Total Proposed Operating Budget	25,208	26,459	27,255	797

Table CE0-2

FY 2002 Full-Time Equivalent Employment LevelsD.C. Public Library

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Continuing full time	348.25	383.00	381.00	-2.00
Term full time	35.50	49.00	51.50	2.50
Total FTEs	383.75	432.00	432.50	0.50

Library Support is responsible for technical services, buildings and grounds, information and telecommunications, publications and exhibits, and security. The FY 2002 proposed gross budget is \$5,730,451, an increase of \$62,052 or 1.1 percent over FY 2001. Of this, personal services are \$3,540,012, and nonpersonal services are \$2,190,439. There are 77.5 FTEs supported by this program.

Funding Summary

Local

The FY 2002 proposed local budget is \$26,029,743 an increase of \$821,834, or 3.3 percent, over the FY 2001 approved budget. Local funds support 422 FTEs, unchanged from the FY 2001 budget. Refer to the FY 2002 Operating Appendices (bound separately) for details.

Significant changes include:

- \$45,521 increase in personal services for Library Administration
- \$227,849 increase in personal services for Library Operations, associated with Management Supervisory Services funding granted after the FY 2001 budget was approved.
- \$422,664 net increase in nonpersonal services for Library Operations, including a decrease of \$665 in utilities and an increase of \$83,318 in communications
- \$126,141 increase in personal services associated with Management Supervisory Services funding granted after the FY 2001 budget was approved and a \$2,089 decrease in nonpersonal services for Library Support.

Federal

The FY 2002 proposed federal budget is \$560,000, an increase of \$10,000 or 1.8 percent over the FY

2001 approved budget. This increase represents an anticipated increase from the Library Services and Technology Act grant. Federal funds support 9 FTEs, unchanged from the FY 2001 budget.

Private

The FY 2002 proposed private budget is \$93,737, unchanged from the FY 2001 approved budget. Private funds from the Public Library Foundation will support a development director's position, 1 FTE, unchanged from FY 2001.

Other

The FY 2002 proposed other revenue budget is \$572,000, a decrease of \$35,000, or 5.8 percent, from the FY 2001 approved budget. Other funds are generated from bookstore sales, copy machine revenue, book fines, E-rate reimbursement, the Theodore Noyes Trust Fund, and the Peabody Trust Fund. These funds support a range of activities with an emphasis on supporting their

Table CE0-3

Capital Improvements Plan, FY 2000–FY 2007

(dollars in thousands)

D.C. Public Library

Cost Elements	EXPENDITURE SCHEDULE										
	Through FY 2000	Budgeted FY 2001	Total	Year 1 FY 2002	Year 2 FY 2003	Year 3 FY 2004	Year 4 FY 2005	Year 5 FY 2006	Year 6 FY 2007	6 Years Budget	Total Budget
a. Design	1,458	498	1,956	4,399	3,071	0	0	0	0	7,470	9,426
b. Site	0	0	0	0	0	0	0	0	0	0	0
c. Project mngmnt	609	474	1,083	629	565	740	0	0	0	1,934	3,017
d. Construction	2,931	7,355	10,286	7,045	4,232	2,800	0	0	0	14,077	24,364
e. Equipment	0	0	0	0	40	60	0	0	0	100	100
Total	4,999	8,327	13,326	12,073	7,908	3,600	0	0	0	23,581	36,907
Cost Elements	FUNDING SCHEDULE										
	Through FY 2000	Budgeted FY 2001	Total	Year 1 FY 2002	Year 2 FY 2003	Year 3 FY 2004	Year 4 FY 2005	Year 5 FY 2006	Year 6 FY 2007	6 Years Budget	Total Budget
a. Long-term financing	14,669	0	14,669	9,665	7,973	3,600	0	0	0	21,238	35,907
b. Tobacco securitization	0	0	0	0	0	0	0	0	0	0	0
c. Grants	0	0	0	0	0	0	0	0	0	0	0
d. Pay go	0	0	0	0	0	0	0	0	0	0	0
e. Hwy trust fund	0	0	0	0	0	0	0	0	0	0	0
f. Equipment lease	0	0	0	0	0	0	0	0	0	0	0
g. Alternative financing	0	0	0	0	0	0	0	0	0	0	0
h. Other	0	0	0	0	0	0	0	0	0	0	0
Total	14,669	0	14,669	9,665	7,973	3,600	0	0	0	21,238	35,907

Table CE0-4

FY 2002 Proposed Operating Budget, by Revenue Type

(dollars in thousands)

D.C. Public Library

	Actual FY 1998	Actual FY 1999	Actual FY 2000	Approved FY 2001	Proposed FY 2002
Local	20,394	22,208	23,692	25,208	26,030
Federal	611	721	768	550	560
Private	0	0	282	94	94
Other	430	418	388	607	572
Intra-District	8	217	77	0	0
Gross Funds	21,443	23,564	25,208	26,459	27,255

own expenses. For example, revenue from the copy machine goes toward copy machine maintenance and repair; book fines, toward purchasing new books; and bookstore sales, toward buying new merchandise for the store. These funds support 0.5 FTE, an increase of 0.5 FTE over FY 2001.

Capital Improvements

The D.C. Public Library capital program will address modernization and renovations to the Martin Luther King Jr. Memorial Library and several branch facilities. FY 2002 is the first year of a comprehensive multiyear effort to renovate and rebuild library facilities.

The program has been developed to correct

major deficiencies throughout all the facilities by modernizing structural and mechanical systems. The agency's program addresses facilities renovations, general improvement and equipment acquisition, and technology enhancements.

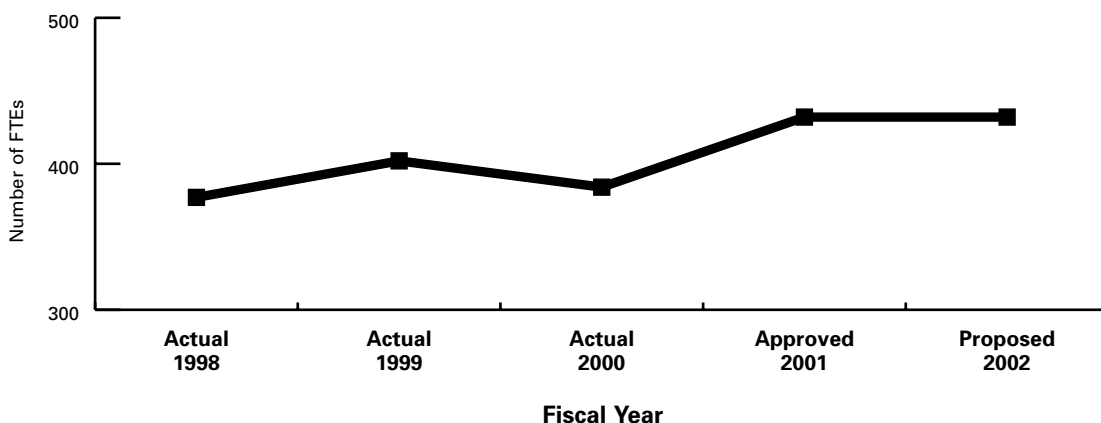
The proposed budget for DC Public Library is \$12,073,040 in FY 2002 and \$23,581,304 for FY 2002 through FY 2007 (table CE0-3). The agency will receive funding for the following projects:

- Begin construction design for the Martin Luther King Jr. Memorial Library. The library, designed by noted architect Mies van der Rohe and built in the 1970s, no longer meets the needs of the public today. This project seeks to maintain the design integrity of this architecturally significant structure while

Figure CE0-2

D.C. Public Library Employment Levels, FY 1998–Proposed FY 2002

(gross FTEs)



improving the functional layout and replacing all building systems. The planned expenditures for the design stage of this project are \$3,426,000 in FY 2002 and \$6,507,000 for FY 2002 through FY 2007.

- Design a complete renovation and modernization program for two branch libraries (Benning and Tenley). The program will completely rebuild the facilities to support technology upgrades and facilities expansion. The planned expenditures are \$600,000 in FY 2002 and \$6,300,000 for FY 2002 through FY 2007.
- Design and renovate completely the Watha T. Daniel branch library. These renovations address the major deficiencies in building systems along with major repairs and permanent improvements. The planned expenditures are \$65,000 in FY 2002 and \$730,000 for FY 2002 through FY 2007.
- Design, renovate, and complete building code compliance at the Anacostia Branch Library. The planned expenditure is \$1,000,000 in FY 2002.

Planned expenditures for ongoing projects are \$6,982,040 for FY 2002 and \$9,344,304 for FY 2002–2007. Refer to the FY 2002 Capital Appendices (bound separately) for details.

Trend Data

Table CE0-4 and figure CE0-2 show expenditure and employment histories for FY 1998–Proposed 2002.

Agency Goals and Performance Measures

Goal 1. Customers receive excellent service.

Citywide Strategic Priority Areas: Strengthening children, youth, families, and individuals; Making government work

Manager: Rita Thompson-Joyner, Assistant Director for Lifelong Learning

Supervisor: Mary E. Raphael, Director

Performance Measure 1.1: Percent of customers satisfied with reference service at the main library (Martin Luther King Jr. Memorial Library)

	1999	2000	Fiscal Year 2001	2002	2003
Target	N/A	N/A	N/A	65.0	TBD
Actual	61.1	N/A	N/A	-	-

Note: Customer satisfaction is rated using the Wisconsin Ohio Reference Evaluation Project instrument. The top scoring public library using this survey tool in the US in 1999 received a 73.8 percent score. It is customary to do the survey at 3 to 5 year intervals.

Performance Measure 1.2: Percent of customers satisfied with reference service at all neighborhood libraries

	1999	2000	Fiscal Year 2001	2002	2003
Target	N/A	N/A	N/A	70	TBD
Actual	66.38	N/A	N/A	-	-

Performance Measure 1.3: “Overall Impression” score on D. C. Government Tester Call Reports

	1999	2000	Fiscal Year 2001	2002	2003
Target	N/A	N/A	4.0–5.0	4.0–5.0	TBD
Actual	N/A	1.5–3.3	N/A	-	-

Goal 2. Library customers have access to personal computers and receive the training and assistance they need.

Citywide Strategic Priority Areas: Strengthening children, youth, families, and individuals; Promoting economic development; Making government work

Manager: Elaine Cline, Assistant Director for Information Literacy

Supervisor: Mary E. Raphael, Director

Performance Measure 2.1: Number of public computers for Internet and electronic resources

	1999	2000	Fiscal Year 2001	2002	2003
Target	N/A	N/A	250	300	300
Actual	151	211	-	-	-

Performance Measure 2.2: Number of customers trained in library sponsored information technology classes

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	N/A	5,100	5,200	5,300
Actual	N/A	6,093	-	-	-

Note: Neighborhood Learning Centers (NLC's) provided additional classes in FY 2000. Homework Help, Plus! Centers, which are replacing NLC's, will not be fully operational until the end of FY 2001.

Goal 3. Library collections and services support lifelong learning and are accessible to residents with diverse language and literacy skills.

Citywide Strategic Priority Areas: Strengthening children, youth, and individuals; Building and sustaining healthy neighborhoods; Making government work; Unity of purpose and democracy

Managers: Elaine Cline, Assistant Director for Information Literacy; Rita Thompson-Joyner, Assistant Director for Lifelong Learning

Supervisor: Mary E. Raphael, Director

Performance Measure 3.1: Number of world language items added to collection

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	N/A	800	1,000	1,200
Actual	N/A	2,000	-	-	-

Note: A grant from the Carnegie Foundation was used to purchase world language material in FY 2000.

Performance Measure 3.2: Number of library customers who take the GED practice test

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	N/A	400	450	500
Actual	174	333	-	-	-

Note: Taking the practice exam in a supervised setting is a predictor of success in earning the GED.

Performance Measure 3.3: Number of books circulated annually (number in thousands)

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	N/A	1,100	1,075	TBD
Actual	1,418	1,072	-	-	-

Notes: Circulation figures are unavailable for October through December 1999. Therefore, the FY 2000 figure is estimated based on nine months of data. The projection of no growth and even a decline in circulation figures in FY 2001 and FY 2002 reflects a decrease in the materials budget.

Performance Measure 3.4: Percent of bestsellers that are available to the public within one week of appearing on Washington Post Book World bestseller list

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	85	90	95	95
Actual	N/A	50	-	-	-

Performance Measure 3.5: Number of children and adults attending library initiated programs (number in thousands)

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	N/A	150	155	160
Actual	N/A	N/A	-	-	-

Goal 4: The Library provides services in 26 facilities that have convenient hours and inviting environments.

Citywide Strategic Priority Areas: Strengthening children, youth, families and individuals; Building and sustaining healthy neighborhoods; Promotion of economic development; Making government work

Manager: TBD, Assistant Director for Support Services; Rita Thompson-Joyner, Assistant Director for Lifelong Learning

Supervisor: Mary E. Raphael, Director

Performance Measure 4.1: Percent of hours each month that all facilities maintain scheduled hours of service

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	N/A	97	98	99
Actual	N/A	N/A	-	-	-

Performance Measure 4.2: Number of facilities with visible improvements to lighting, carpeting, restrooms, etc.

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	N/A	5	5	5	5
Actual	N/A	10	-	-	-

Commission on the Arts and Humanities

FY 2002 Proposed Operating Budget:	\$2,236,041
FY 2002 Proposed Capital Budget:	\$1,285,000
FY 2002 - FY 2007 Proposed Capital Improvements Plan:	\$7,815,000

The D.C. Commission on the Arts and Humanities was created to enrich the quality of life for the residents of the District of Columbia through the arts and humanities. The commission fulfills its mission by initiating, developing, and supporting actions on matters relating to the arts, and by providing funds, services, and information to artists, arts organizations, educational institutions, community organizations, and agencies throughout the District government. Specifically, the commission provides grants, professional opportunities, educational enrichment and other programs, and services to individuals and nonprofit organizations in all communities in the District of Columbia.

The FY 2002 proposed operating budget is \$2,236,041, a decrease of \$4,524, or 0.2 percent, from the FY 2001 approved budget.

The agency's proposed capital budget totals \$1,285,000 for FY 2002.

Budget Summary

The FY 2002 proposed operating budget for the Commission on the Arts and Humanities from all funding sources is \$2,236,041, a decrease of \$4,524, or 0.2 percent, from the FY 2001 approved budget (table BX0-1). There are 9 full-time employees (FTEs) supported by this budget, no change from FY 2001 (table BX0-2).

The agency's proposed capital budget totals \$1,285,000 for FY 2002 and \$7,815,000 for FY 2002 through FY 2007. This budget covers one existing capital project. The overall purpose of the Commission's Art in Public Places Program is to

place works of art in government facilities and public spaces.

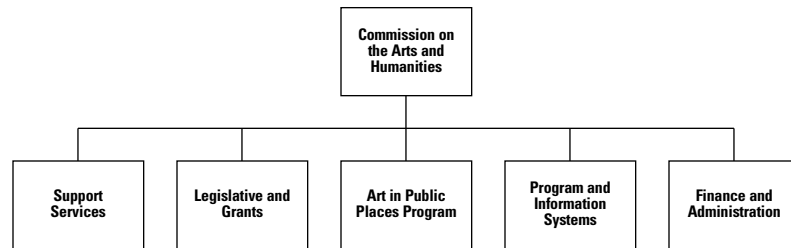
Strategic Issues

The commission has five priority areas for FY 2002:

- Economic development
- Safe passages
- Strengthening children, youth, families, and individuals
- Building and sustaining healthy neighborhoods
- Making government work

Figure BX0-1

Commission on the Arts and Humanities



FY 2002 Initiatives

- Support programs that provide training and in-depth exploration of artistic disciplines to students from early childhood through 18 years.
- Fund arts activities east of the Anacostia River, cash prizes for artistic excellence in writing, and local artists' creation of new neighborhood landmarks.
- Offer organizations general operating support and financial assistance to help meet costs related to regular programming activities and administration.

Agency Background

The D.C. Commission on the Arts and Humanities is the official arts agency of the District of Columbia. Since 1968, it has developed and promoted local artists, arts organizations, and arts activities as part of its mission to enrich the quality of life for the people of Washington, D.C. In partnership with the community, the commission promotes excellence in the arts by initiating and supporting programs, activities, and policies that inspire, nurture, and reflect the multi-ethnic character and cultural diversity of the people it serves.

The Commission is organized under the Office of Planning and Economic Development (OPED) and serves as a volunteer advisory board. It is the sole source of local public funding for the arts in Washington, D.C.

Programs

The Commission on the Arts and Humanities provides financial support and conducts programming in three primary areas: arts education, city

arts, and grants in aid (figure BX0-1). Grants are awarded to individual artists and to arts and community organizations based on availability of funding and recommendations of advisory panels. Artistic expressions supported by the commission—including crafts, dance, interdisciplinary and performance art, literature, media, multidisciplinary art, music, theater, and visual arts—help bring the arts to the forefront of public consciousness.

The **Arts Education Program** promotes learning through the arts and seeks to make cultural experiences central to the education of all children. It offers funds for programs that provide training and in-depth exploration of artistic disciplines to students from early childhood through 18 years, via both in-school and out-of-school projects. Past projects have included artist residencies, professional development for teachers, curriculum integration projects, and performances in community facilities. The proposed FY 2002 budget for the Arts Education Program is \$421,000.

The **City Arts Projects Program** encourages the growth of quality and diverse arts activities throughout the city, supports local artists, and makes arts experiences accessible to District residents, with a special focus on providing art exposure to persons traditionally underserved. This program has funded arts activities east of the Anacostia River, cash prizes for artistic excellence in writing for adults and youths, creation of new neighborhood landmarks throughout the city by local residents, and artwork that captures archetypes of Washington, which then become part of movable works loaned to other District government agencies. The proposed FY 2002 budget for the City Arts Program totals \$441,000.

Table BX0-1

FY 2002 Proposed Operating Budget, by Comptroller Source Group

(dollars in thousands)

Commission on the Arts and Humanities

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Regular Pay - Cont. Full Time	218	126	126	0
Regular Pay - Other	178	303	305	2
Additional Gross Pay	16	1	1	0
Fringe Benefits	67	70	57	-13
<i>Subtotal Personal Services (PS)</i>	<i>\$480</i>	<i>\$501</i>	<i>\$489</i>	<i>(\$11)</i>
Supplies and Materials	4	4	4	0
Utilities	149	26	8	-18
Communications	10	17	8	-9
Rentals - Land and Structures	1	140	141	1
Janitorial Services	0	0	16	16
Security Services	0	0	48	48
Other Services and Charges	93	106	56	-50
Contractual Services	2	17	14	-3
Subsidies and Transfers	1,730	1,422	1,444	22
Equipment and Equipment Rental	8	8	8	0
<i>Subtotal Nonpersonal Services (NPS)</i>	<i>1,997</i>	<i>1,740</i>	<i>1,747</i>	<i>7</i>
Total Proposed Operating Budget	2,477	2,241	2,236	(5)

Table BX0-2

FY 2002 Full-Time Equivalent Employment LevelsCommission on the Arts and Humanities

	Actual FY 2000	Approved FY 2001	Proposed FY 2002	Change from FY 2001
Continuing full time	4	2	2	0
Term full time	5	7	7	0
Total FTEs	9	9	9	0

The **Grants-In-Aid Program** for organizations offers general operating support to arts groups in the District of Columbia. This program offers organizations general operating support and financial assistance to help meet costs related to regular programming activities and administration. The proposed FY 2002 budget for the Grants-in-Aid Program is \$451,000.

Funding Summary

Local

The FY 2002 proposed local budget is \$1,760,241, a decrease of \$19,324 from the FY 2001 approved budget. There are two FTEs supported by Local funds. This budget provides grants to local art organizations and overhead associated with the agency's operations, the commission's three programs, and its Capital Improvement Projects. Refer to the FY 2002 Operating Appendices (bound separately) for details.

Federal

The FY 2002 proposed federal budget is \$398,300, a decrease of \$5,700 from the FY 2001 approved budget. This is the result of a \$6,800 decrease in personal services and an increase of \$1,100 in nonpersonal services. There are 7 FTEs supported by Federal funds. This budget supports the East-of-the-River City Arts Projects Program.

Other

The FY 2002 proposed Other (O-type) budget is \$40,000. This is an increase of \$20,000 over the FY 2001 approved budget. There are no FTEs supported by Other funds.

Intra-District

The FY 2002 proposed intra-District budget is \$37,500, an increase of \$500 over the FY 2001 approved budget. There are no FTEs supported by intra-District funds. This budget supports oper-

Table BX0-3

Capital Improvement Plan, FY 2000 – FY 2007

(Dollars in Thousands)

Commission on the Arts and Humanities

Cost Elements	EXPENDITURE SCHEDULE										
	Through FY 2000	Budgeted FY 2001	Total	Year 1 FY 2002	Year 2 FY 2003	Year 3 FY 2004	Year 4 FY 2005	Year 5 FY 2006	Year 6 FY 2007	6 Years Budget	Total Budget
a. Design:	528	1,543	2,072	1,285	1,355	1,235	1,305	1,385	1,250	7,815	9,887
b. Site:	0	0	0	0	0	0	0	0	0	0	0
c. Project Mngmnt:	0	0	0	0	0	0	0	0	0	0	0
d. Construction:	0	10	10	0	0	0	0	0	0	0	10
e. Equipment:	0	0	0	0	0	0	0	0	0	0	0
Total:	528	1,553	2,082	1,285	1,355	1,235	1,305	1,385	1,250	7,815	9,897
Cost Elements	FUNDING SCHEDULE										
	Through FY 2000	Budgeted FY 2001	Total	Year 1 FY 2002	Year 2 FY 2003	Year 3 FY 2004	Year 4 FY 2005	Year 5 FY 2006	Year 6 FY 2007	6 Years Budget	Total Budget
a. Long Term Financing:	2,082	0	2,082	1,285	1,355	1,235	1,305	1,385	1,250	7,815	9,897
b. Tobacco Securitization:	0	0	0	0	0	0	0	0	0	0	0
c. Grants:	0	0	0	0	0	0	0	0	0	0	0
d. Pay Go:	0	0	0	0	0	0	0	0	0	0	0
e. Hwy Trust Fund:	0	0	0	0	0	0	0	0	0	0	0
f. Equipment Lease	0	0	0	0	0	0	0	0	0	0	0
g. Alternative Financing	0	0	0	0	0	0	0	0	0	0	0
h. Other:	0	0	0	0	0	0	0	0	0	0	0
Total:	2,082	0	2,082	1,285	1,355	1,235	1,305	1,385	1,250	7,815	9,897

Figure BX0-2

Commission on the Arts and Humanities Employment Levels, FY 1998–Proposed FY 2002

(gross FTEs)

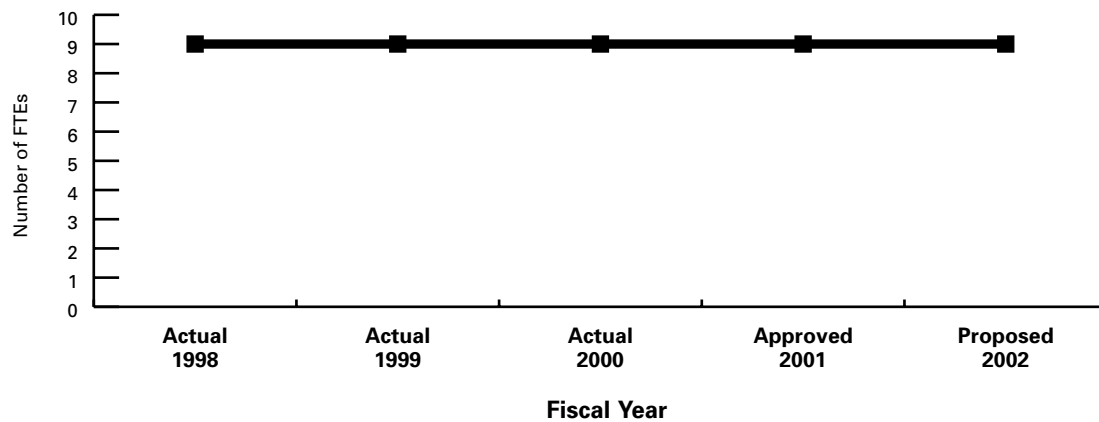


Table BX0-4

FY 2002 Proposed Operating Budget, by Revenue Type

(dollars in thousands)

Commission on the Arts and Humanities

	Actual FY 1998	Actual FY 1999	Actual FY 2000	Approved FY 2001	Proposed FY 2002
Local	1,698	1,705	2,023	1,780	1,760
Federal	354	419	407	404	398
Private	0	0	10	0	0
Other	0	93	0	20	40
Intra-District	50	37	38	37	38
Gross Funds	2,102	2,254	2,477	2,241	2,236

ations of the annual Filmfest D.C., and is transferred from the Office of Motion Pictures and Television.

Capital Improvements

D.C. Law 6-127 requires that 1 percent of the Art in Public Places Program's total authority provide for construction, renovation, and repair of public facilities and institutions, exclusive of land acquisition and infrastructure, be used for the creation, installation, and maintenance of public art.

The agency has requested additional funding of \$1,285,000 for capital improvements in FY 2002 and \$7,815,000 for FY 2002 through FY 2007 (table BX0-3). Refer to the FY 2002 Capital

Appendices (bound separately) for details.

The capital improvements program includes the following projects:

- Support the Arts in Public Places program in various District communities in the amount of \$60,000 for FY 2002 and \$460,000 for FY 2002–FY 2007.
- Fund the placement of public art in various city neighborhoods, in the amount of \$40,000 for FY 2002 and \$550,000 for FY 2002–FY 2007.
- Place public art in various communities commemorating notable citizens, in the amount of \$50,000 for FY 2002 and \$430,000 for FY 2002–FY 2007.

- Replace or install new art in various downtown public places, in the amount of \$50,000 for FY 2002 and \$465,000 for FY 2002–2007.
- Fund the Mt. Vernon Metro station Art-in-Transit program, in the amount of \$40,000 for FY 2002 and \$210,000 for FY 2002–FY 2007.
- Fund ongoing projects: planned expenditures, \$1,045,000 and \$5,700,000 for FY 2002–2007.

Trend Data

Table BX0-4 and Figure BX0-2 show expenditure and employment histories for FY 1998–Proposed FY 2002.

Agency Goals and Performance Measures

Goal 1. Enhance the cultural infrastructure of the District of Columbia by making the arts accessible to all our residents and visitors.

Citywide Strategic Priority Areas: Building and sustaining healthy neighborhoods; Promoting economic development

Manager: Lionell Thomas, Legislative and Grants Officer

Supervisor: Anthony Gittens, Executive Director

Measure 1.1: Number of grants awarded to individual artists, arts organizations, and community-based organizations

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	150	200	300	350	375
Actual	200	250	-	-	-

Measure 1.2: Number of showcases, presentations, and cultural exchange opportunities provided

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	110	150	200	225	250
Actual	150	175	-	-	-

Goal 2. Develop community building through public and private partnerships in the arts.

Citywide Strategic Priority Areas: Building and sustaining healthy neighborhoods; Making government work

Manager: Lionell Thomas, Legislative and Grants Officer

Supervisor: Anthony Gittens, Executive Director

Measure 2.1: Number of major partnerships in the arts

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	3	5	7	9	11
Actual	5	7	-	-	-

Goal 3. Provide life-long education and interest in the arts and arts education.

Citywide Strategic Priority Area: Strengthening children, youth, families, and individuals

Manager: Mary Liniger Hickman, Arts Education Coordinator

Supervisor: Anthony Gittens, Executive Director

Measure 3.1: Percent of D.C. Public School students served through the Arts Education Program

	1999	2000	Fiscal Year		
			2001	2002	2003
Target	25	35	40	45	50
Actual	30	37	-	-	-